### **EXHIBIT A**

Valtrus Innovations Ltd.'s Proposed Constructions and Intrinsic and Extrinsic Evidence

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### U.S. Patent No. 6,728,704

Patent	Claim(s)	Term	Valtrus's Proposed Construction	Examples of Intrinsic Evidence	Examples of Extrinsic Evidence
'704	1, 12	Ordering of the method steps	The steps do not all need to be performed in order.		
'704	1, 12	scoring value(s)	Plain and ordinary meaning to a person of ordinary skill in the art in light of the specification.		
'704	1, 12	search engine(s)	A computer program designed to seek out information based on a query from a user via a Web browser.	'704 Patent at 1:38-41: "Search engines are computer programs designed to seek out information based on instructions from the user. Typically, the user enters a set of instructions, often called a query, which instructs the search engine to search for specific types of information."  '704 Patent at 1:21-31: "Searches for information in the networked computer environment may be cumbersome due to the sheer amount of information stored, or due to the complexity of finding information in large file structures. Indeed, with the advent of the World Wide Web (WWW) as well as other	"Search Engine." The New Oxford American Dictionary 1529 (Erin McKean ed., 2nd ed. 2005). VALTRUS-GOOGLE-NDTX-00007588-00007606.  "Search Engine." Steven M. Kaplan, Wiley Electrical and Electronics Engineering Dictionary 687-88 (2004). VALTRUS-GOOGLE-NDTX-00007435-00007455.  "Search Engine." Harry Newton, Newton's Telecom Dictionary 822 (24th ed. 2008). VALTRUS-GOOGLE-NDTX-00007499-00007516.

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forms of computer networking, and the corresponding explosion in the amount of information available, it is now simply impractical for users to search for information manually. The ability of search engines to analyze enormous amounts of data and isolate useful information thus becomes of paramount importance."

'704 Patent at 1:52-2:33: "Almost all search engines work in this general manner. However, their architectures vary according to the context in which they operate. Search engines are currently constructed in at least three architectures: federated, peer-to-peer, and meta-search engines. Each is used to conduct different types of searches. Federated search engines are used in the client-server environment. A client or server may initiate a search for data located in various networked servers. Federated search engines are most commonly used in the WWW context, but need not be limited in this manner. Typical federated engines search the WWW by utilizing programs called bots or spiders to examine the content of information available on other computers and build an index consisting of the words or other data stored in these computers, as well as where they are located. Once users enter a query

Tao Yang and Apostolos Gerasoulis, Web Search Engines: Practice and Experience, 2 *in* Computing Handbook (3rd ed. 2014). VALTRUS-GOOGLE-NDTX-00007182-00007202.

Julia Kerr, What is a Search Engine? The Simple Question the Court of Justice of the European Union Forgot to Ask and What It Means for the Future of the Right to be Forgotten, 17 CHI. J. INT'L L. 217, 220 (2016). VALTRUS-GOOGLE-NDTX-00007342-00007369.

Sergey Brin and Lawrence Page, The Anatomy of a Large-Scale Hypertextual Web Search Engine (1998). VALTRUS-GOOGLE-NDTX-00007266-00007285.

Shaon Tewari, *How Search Engine Works*, 2 Int'l J. of Res. In Engineering, Science & Management 92, 92 (2019). VALTRUS-GOOGLE-NDTX-00007293-00007301.

F. Anklesaria et al., The Internet Gopher Protocol (a distributed document search and retrieval protocol) 10 (1993). VALTRUS-GOOGLE-NDTX-00007902-00007917.

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consisting of words or data desired, the search engine searches its index for any locations that contain these words/data and returns a list of such locations. The result list returned is normally a list of each such returned location and any associated information, and may include Uniform Resource Locators (URLs) for finding WWW-based data, or other expressions of data location. The results or entries in this list are often ranked according to any of a number of criteria currently available, with the goal of presenting the most relevant results to the user first.

One flaw in this type of search engine is the potential for inaccurate information. Because the WWW is so large, indices are updated only sporadically, meaning searches may not uncover the most recent information. Other types of search engines avoid the need for spiders and indices, and thus present users with upto-date information more often. One example is the peer-to-peer search engine, which can also be used for other networks besides the WWW. These search engines operate in the peer-topeer environment, where computers are simply linked together with no centralized servers and no distinct clients. They typically work by distributing a search to various peer

Wei Tang, Search Engine Survey 1 (1999). VALTRUS-GOOGLE-NDTX-00008456-00008464.

Jeffrey R. Bach et al., Virage image search engine: an open framework for image management (1996). VALTRUS-GOOGLE-NDTX-00008465-00008477.

Odej Kao and Gerhard R. Joubert, A content based Internet search engine for analysis and archival of MPEG-1 compressed newsfeeds (2002). VALTRUS-GOOGLE-NDTX-00008491-00008494.

Chee-Hong Chan et al., Automated Online News Classification with Personalization 7, *in* 4th International Conference on Asian Digital Libraries (2001). VALTRUS-GOOGLE-NDTX-00008445-00008455.

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computers, each of which can in turn
farm out the search to other computers
in the same network. In this way,
individual computers search only the
current contents of a few peers and not
the entire WWW or other network. This
eliminates the need to build a large
index, and delivers to the user a real-
time snapshot of the content of the
network or the WWW.
Finally, web meta-search engines can
operate in either the client-server or
peer-to-peer environment. These search
engines typically act as aggregators that
farm a WWW search out to other public
web search engines, then process the
results."
results.
'704 Patent at 3:34-52: "FIG. 2 illustrates
processing operations in accordance
with an embodiment of the invention.
with an embodiment of the invention.
FIG. 3 illustrates an example of merging
multiple result lists into a single list in
accordance with an embodiment of the
invention.
Like reference numerals refer to
corresponding parts throughout the
several views of the drawings.
FIG. 1. illustrators and include the second of
FIG. 1 illustrates a generalized computer
network 5 that may be operated in

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	accordance with an embodiment of the	
	present invention. This computer	
	network 5 may operate in a client-server,	
	peer-to-peer, or other configuration, and	
	may also be considered a representation	
	of the WWW. The network 5 includes at	
	least one computer 10 connected by	
	transmission channel 20 to a group of	
	computers 30 and 50. Transmission	
	channel 20 may be any wire or wireless	
	transmission channel."	
	FIGS. 1, 2, and 3.	
	'704 Patent at 3:52-65: "Computer 10 is	
	a standard computer controlled by a	
	Central Processing Unit (CPU) 12 and	
	connected to the rest of the computers in	
	network 5 by network connection 14.	
	Computer 10 also includes a memory 16	
	that can be any form of computer-	
	¥	
	readable memory. Memory 16 contains a	
	browser program 17 that allows users to	
	browse the WWW. The memory 16 may	
	also contain a search engine program 18	
	and an associated merging program 19	
	for merging different result lists,	
	however in a client-server configuration	
	the search engine is often resident on a	
	different computer. The search and	
	merging operations may be performed	
	on any computer within the network 5."	
	A	
	'704 Patent at 4:20-49: "The present	
	invention operates within a network of	
1	 myenden operates within a network of	

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	computers such as those shown in FIG.	
	1. More specifically, the present	
	invention operates by engaging multiple	
	search engines to process a query and	
	merge the result lists for presentation to	
	the user. In a typical client-server	
	V 1	
	configuration, a user operating client	
	computer 10 sends queries through	
	transmission channel 20 to search engine	
	40, which is resident on server 30.	
	Through the use of spiders or bots	
	whose operations are known in the art,	
	the search engine 40 typically will have	
	already built up a collection of locations	
	(which can include URLs), along with	
	the data contained in those locations, in	
	index 42. For example, the bots would	
	have already searched the contents of	
	memory 36 of computers configured like	
	computer 30. They would have also	
	searched the contents of WWW data	
	pages 60 and databases 62 of computers	
	configured like computer 50. The	
	content from these computers would be	
	stored in index 42. Search engine 40	
	then cross-checks the words or other	
	data contained in the query against the	
	data contained in index 42 for matches.	
	Locations in index 42 containing data	
	that matches the query are compiled into	
	a result list. Search engine 40 typically	
	transmits the same query to other search	
	engines resident on computers	
	configured like computer 30 and	
	configured like computer 30 and	

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connected to transmission channel 20.
These other search engines then perform
separate searches in the same manner as
above, compile their own result lists, and
return these lists to the computer 30 that
originated the search. The end result of
the above is a set of result lists that must
be merged by merging program 44 and
returned to computer 10 for display to
the user."
'704 Patent at 5:1-15: "Search engine 18
then performs two different tasks: it
searches other computers on the network
for data satisfying the query, and
distributes that query to other search
engines on the network 5. Here, search
engine 18 searches the contents of
memory 36 of peer computer 30, as well
as memory 56 of peer computer 50, for
data matching the query. A result list
containing the locations of relevant data
is then compiled. Search engine 18 also
farms the same query out to the search
engine 40 of peer computer 30, which
conducts a search in similar fashion,
examining the contents of peer
computers like computers 30 and 50 and
compiling the results into a list. Note
that this process could continue
recursively, with search engine 40
farming out the same query to other
search engines in network 20, which in
scarch engines in network 20, which in

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turn could farm the search out to other search engines, and so on."  '704 Patent Prosecution History at VALTRUS-GOOGLE-NDTX- 00000093-00000097: "Web search engines (WSE) use tools ranging from
simple text-based search to more sophisticated methods that attempt to understand the intended meanings of both queries and data items."
'704 Patent Prosecution History at VALTRUS-GOOGLE-NDTX- 00000098-00000126.
'704 Patent Prosecution History at VALTRUS-GOOGLE-NDTX- 00000158-00000167: "Many sources on the Internet and elsewhere rank the objects in query results according to how well these objects match the original query."
U.S. Patent No. 6,006,225, cited on face of '704 Patent, at 1:17-20: "With the increasing popularity of the Internet and the World Wide Web, it is common for on-line users to utilize search engines to search the Internet for desired information."
U.S. Patent No. 6,102,969, cited on face of '704 Patent, at 6:43-7:19: "FIG. 2B

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generally illustrates the user display	
from another example of a web-browser-	
based user interface embodiment, which	
in this case, is directed to an information	
domain of WWW indexes or search	
engines. This display is also generally	
divided into three sections. Section 71	
displays a title for the netbot; section 72	
displays the status of the current search;	
and section 73 displays the search	
results. In more detail, the display of	
section 71 includes a logo for this	
netbot, "MC" standing for	
"MetaCrawler," a name chosen since	
WWW search engines are also known as	
"web crawlers," and controls to access	
certain. system level presentation	
features, such the MetaCrawler home	
page and user feedback pages. The	
display of section 72 includes list 74 of	
the search engines being queried	
identified by their common names, the	
status of the current query in general and	
at each search engine, and common user	
controls. Generally, pie-chart icon 78	
summarizes that 7 of the 8 search	
engines queried have already responded	
to the query. At search engine 75,	
known as "Lycos," the check mark	
indicates that a response containing	
information items has already been	
•	
received. At search engine 76, known as	
"Inktomi," the cross mark indicates that	
a response without any information	

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items has already been received. On the other hand, search engine 77, known as "Galaxy," is visibly distinguished from the other search engines to indicate that it has not yet responded to the query. The common controls of section 72	
include more-button 79 to request the display of newly arrived search results, and modify-search-button 80 to request a new or modified query be sent. Lastly, the display of section 73 includes the information items returned from the search engines. Each information item is displayed separately and includes title	
81, descriptive text 82 if available, and line 83 with the URL of the web page for this item and the estimated relevance of this item to the query, here "1000."The items are sorted for display by descending values of the estimated relevance. The displayed items are scrolled using controls provided by the web browser. This user interface is implemented as a Java applet downloaded from a netbot server and executed by the web-browser. In this	
manner, the interface of FIG. 2B is capable of greater interactivity than that of FIG. 2A. For example, it can poll the netbot server for current search status and update the status displays accordingly without user action."	

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U.S. Patent No. 6,327,590, cited on face
of '704 Patent, at 1:41-63: "For
individual search engines, there are
many different techniques for ranking
results, ranging from counting the
frequency of the appearance of the
various search terms in the search query
to calculating vector similarities between
a search term vector and each returned
document vector. In a networked
environment such as the World Wide
Web, meta-searchers access different
and often heterogeneous search engines
and face the additional difficulty of
combining the ranking information
returned by the individual engines.
Meta-searcher is a Web information
retrieval system aimed at searching
answers to a user's query in the
heterogeneous information providers
distribute over the Web. When a meta-
searcher receives responses (usually in
the form of HTML files) from the
information providers, a special
component of a meta-searcher called a
wrapper, process the responses to
answer the original query. Since many
search engines, including meta-
searchers, hide the mechanism used for
document ranking, the problem of
merging search results is compounded.
A problem common to both individual
search engines and meta-search engines
is that these approaches ignore, or

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				knowing nothing about, the user conducting the search, or the user's context for conducting the search."  U.S. Patent No. 6,546,388, cited on face of '704 Patent, at 1:61-2:9: "Users of the Web use tools to help find, location or navigate through the Web. These tools are known as Internet search engines or simply search engines. Almost all search engines provide graphical user interfaces (GUIs) for boolean and other advanced search techniques from their private catalog or database of Web sites. The technology used to build the catalog changes from site to site. The use of search engines for keyword searches over an indexed list of documents is a popular solution to the problem of finding a small set of relevant documents in a large, diverse corpus. On the Internet, for example, most search engines provide a keyword search interface to enable their users to quickly scan the vast array of known documents on the Web for the handful of documents which are most relevant to the user's interest."	
				the user's interest."	
'704	1, 12	representative value	A value that represents the scoring values of the entries of a list.	'704 Patent at 3:1-19: "The invention includes the step of transmitting a query to a set of search engines. Any result lists returned from these search engines is received, and a subset of entries from	"Representative." Collins English Dictionary 1372 (7th ed. 2005). VALTRUS-GOOGLE-NDTX- 00007568-00007587.

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each result list is selected. Each entry in this subset is assigned a scoring value according to a scoring function, and each result list is then assigned a representative value according to the scoring values assigned to its entries. A merged list of entries is produced based upon the representative value assigned to each result list.	"Representative." The New Oxford American Dictionary 1437 (Erin McKean ed., 2nd ed. 2005). VALTRUS- GOOGLE-NDTX-00007588-00007606.
The invention further includes a computer-readable memory to instruct a computer to merge multiple result lists from search engines. Executable instructions stored in the memory include instructions for selecting a subset of entries from each result list. Each entry in the subset is assigned a scoring value according to a scoring function. Each result list is assigned a representative value based on a function of scoring values assigned to its entries. The entries are then ranked based on the representative value assigned to their result list."	
'704 Patent at 5:65-6:5: "The next processing step is to determine, for each list, a representative score of all scoring values determined for its entries (block 78). The representative score may be the arithmetic average or a value proportional to the average for a set of scoring values. The present invention	

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includes the step of determining this
representative score according to any
number of known techniques."
1704 Peterst et 7:10 14: "Perellt liete 110
'704 Patent at 7:10-14: "Result lists 110,
112, 114 can now be merged into
merged list 140. As above, this is
accomplished using the representative
value assigned to each list. In this
example, the representative value
assigned to each list is an average
scoring value."
'704 Patent at 7:14-34: "In this example,
the list with the highest average scoring
value is selected first. In FIG. 3, this is
result list 112, having an average scoring
value 132 of 14.95. The first unselected
entry, 1B, is selected first. Average
scoring value 132 is then decremented
by some amount. If that amount is
chosen to be 1.0, average scoring value
132 takes on a value of
14.95–1.0=13.95. Because 13.95 is still
the highest average scoring value, 2B is
chosen next and average scoring value
132 is decremented by another 1.0 to
take on a value of 12.95. Now, the
highest average scoring value is value
134, or 13.225. Entry 1C is thus the next
entry selected. Scoring value 134 is then
decremented to 12.225; value 132,
which is at 12.95, is now the highest
value again. Entry 3B is thus chosen
value again. Entry 3D is thus chosen

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next, and value 132 is decremented to	
11.95. This means value 134 is now the	
highest value. Entry 2C is then chosen,	
and value 134 is decremented to 11.225.	
This means value 132 is again the	
highest value, so entry 4B is selected	
next and value 132 is decremented to	
10.95. Value 130 is now the highest	
value, so entry 1A is chosen and value	
130 is decremented to 11.25–1.0=10.25.	
This process repeats until all entries	
from all three lists are selected."	
7:35-54: "According to another	
embodiment, each list 110, 112, 114 is	
assigned a probability value equal to its	
average scoring value's percentage of the	
total of all average scoring values.	
Entries are then selected from each list	
based on its probability value. Here, for	
instance, the total of all average scoring	
values 130, 132, 134 is	
11.25+14.95+13.225=39.425. This	
means result list 110 is assigned a	
probability value equal to	
(11.25/39.425)100%=28.54%. In like	
manner, result list 112 is assigned a	
probability value of (14.05/30.425) 1000/ =27.020/ and result	
(14.95/39.425)100%=37.92%, and result	
list 114 is assigned a probability value of	
(13.225/39.425)100%=33.54%. Result	
lists are then selected in pseudorandom	
fashion, where at each selection result	
list 110 has a 28.54% chance of being	

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				picked, list 112 has a 37.92% chance, and list 114 has a 33.54% chance. Once a list is selected, the first entry that has not already been selected is picked. Once every entry in a list is selected, the total of all average scoring values is recalculated without that list's average scoring value, and the process continues until every entry of every list has been selected."  '704 Patent Prosecution History at VALTRUS-GOOGLE-NDTX-0000098-00000126: "The representative of a database indicates approximately the contents of the database."  '704 Patent Prosecution History, VALTRUS-GOOGLE-NDTX-00000306-00000315: "In essence, the result lists are merged with the goal of placing the most relevant entries first for	
				approximately the contents of the database."  '704 Patent Prosecution History, VALTRUS-GOOGLE-NDTX- 00000306-00000315: "In essence, the result lists are merged with the goal of	
				entries from each list. Specifically, there is no requirement for examining the content of each result item."	
'704	1, 12	wherein the representative	Plain and ordinary meaning to a		

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		value varies in accordance with predetermined manner / wherein said representative value varies in accordance with said predetermined manner	person of ordinary skill in the art in light of the specification.		
'704	11	predetermined manner	Not indefinite.	'704 Patent at 5:28-43: "FIG. 2 illustrates one embodiment of the processing operations according to the present invention. In typical operation, a query is transmitted to a first search engine, which in turn transmits the query to other search engines (block 70). Eventually, each of these other search engines returns a result list that is received by the first search engine (block 72). The first search engine then begins to merge the result lists according to the processing steps of the present invention. In essence, the result lists are merged with the goal of placing the most relevant entries first for the user's convenience. However, to reduce the associated computational overhead, lists	

<sup>&</sup>lt;sup>1</sup> In the parties' July 5 meet and confer, Google stated that it believes there may be an antecedent basis issue with the last recitation of this term in claim 1, raising a separate potential dispute than the predetermined manner term already identified.

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'704	1, 12	selecting a	Plain and ordinary	are not merged based on an examination of every single entry. Rather, they are merged based on an examination of only a small number of entries from each list. Specifically, there is no requirement for examining the content of each result item."  '704 Patent Prosecution History at VALTRUS-GOOGLE-NDTX-00000343-00000346: "Applicant's particular system and associated methods in the environment of ranking multiple subsets for a result list for a plurality of search engines is the combination of the limitations of selecting a subset of entries from each result list; determining a scoring value for each of the entries of said subsets; characterizing or assigning a representative value to each of said subsets; then merging entries in a predetermined manner in a single list based on said representative value; and wherein the representative value varies in according with said representative value in combination with the other limitations of the claims"	Seymor Lipschutz and Marc Lipson,
7/04	1, 12	selecting a subset of entries from each result list	meaning to a person of ordinary skill in the art in		Seymor Lipschutz and Marc Lipson, Schaum's Outline of Discrete Mathematics 2 (1997). VALTRUS- GOOGLE-NDTX-00008495-00008506.

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			light of the specification.		Orlando A. Oronce and Marilyn O. Mendoza, Exploring Mathematics: Geometry III 11 (2003). VALTRUS- GOOGLE-NDTX-00008507-00008513.  E. Kamke, Theory of Sets 6 (1950). VALTRUS-GOOGLE-NDTX- 00008478-00008490.
'704	1, 12	producing a merged list of entries / merging entries into a single list	Plain and ordinary meaning to a person of ordinary skill in the art in light of the specification.		
'704	6, 17	probability value	A value representing how likely a list is to be selected.	'704 Patent at 6:20-22: "Each list is assigned a probability value equal to its representative value's percentage of the total representative values for all lists."  '704 Patent at 7:35-54: "According to another embodiment, each list 110, 112, 114 is assigned a probability value equal to its average scoring value's percentage of the total of all average scoring values. Entries are then selected from each list based on its probability value. Here, for instance, the total of all average scoring values 130, 132, 134 is 11.25+14.95+13.225=39.425. This means result list 110 is assigned a probability value equal to (11.25/39.425)100%=28.54%. In like	"Probability." Steven M. Kaplan, Wiley Electrical and Electronics Engineering Dictionary 605 (2004). VALTRUS-GOOGLE-NDTX-00007435-00007455.  "Probability." Rudolf F. Graf, Modern Dictionary of Electronics 589 (1999). VALTRUS-GOOGLE-NDTX-00007456-00007470.  "Probability." Microsoft Computer Dictionary 423 (Alex Blanton and Sandra Haynes, eds., 5th ed. 2002). VALTRUS-GOOGLE-NDTX-00007483-00007498.  "Probability." Dick Pountain, The New Penguin Dictionary of Computing 386

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manner, result list 112 is assigned a	(2001). VALTRUS-GOOGLE-NDTX-
probability value of	00007517-00007536.
(14.95/39.425)100%=37.92%, and result	
list 114 is assigned a probability value of	"Probability." E.J. Borokowsi and J.M.
(13.225/39.425)100%=33.54%. Result	Borwein, Collins Dictionary of
lists are then selected in pseudorandom	Mathematics 448 (2nd ed. 2007).
fashion, where at each selection result	VALTRUS-GOOGLE-NDTX-
list 110 has a 28.54% chance of being	00007556-00007567.
picked, list 112 has a 37.92% chance,	
and list 114 has a 33.54% chance. Once	"Probability." Collins English Dictionary
a list is selected, the first entry that has	1291 (7th ed. 2005). VALTRUS-
not already been selected is picked.	GOOGLE-NDTX-00007568-00007587.
Once every entry in a list is selected, the	
total of all average scoring values is	"Probability." The New Oxford
recalculated without that list's average	American Dictionary 1350 (Erin
scoring value, and the process continues	McKean ed., 2nd ed. 2005). VALTRUS-
until every entry of every list has been	GOOGLE-NDTX-00007588-00007606.
selected."	
'704 Patent at 6:22-28: "Lists are then	
selected according to their probability	
value, with lists having higher	
probability values being more likely to	
be selected. When a list is selected, the	
first entry on that list that has not already	
been selected is picked. This process is	
repeated, with the total representative	
value being revised when all entries of a	
list are picked."	
1504 D D	
'704 Patent Prosecution History,	
VALTRUS-GOOGLE-NDTX-	
00000298-00000303: "Applicant's	
particular system and associated	

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methods in the environment of ranking multiple result lists for a plurality of search engines is the combination of the limitations of assigning to each result list a probability value based on its average value and the limitation of selecting a result list preferentially based on its probability value so as to form a selected list in combination with the other limitations of the claims, was not disclosed by, would not have been obvious over, nor would have been fairly suggested by the prior art of record or that encountered in searching	
of the prior art."  VALTRUS-GOOGLE-NDTX- 00000178-00000187: "Since each source in a schedule succeeds probabilistically, a schedule generates a probability distribution over <i>outcomes</i> , where each outcome is one possible way that the schedule's sources might respond to the query."  VALTRUS-GOOGLE-NDTX- 00000188-00000220.  VALTRUS-GOOGLE-NDTX- 00000263-00000271.	

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### II. U.S. Patent No. 6,738,764

Patent	Claim(s)	Term	Valtrus's Proposed Construction	Examples of Intrinsic Evidence	Examples of Extrinsic Evidence
'764	1, 4, 5, 7, 11, 12, 14, 17, 18	relevance score	Plain and ordinary meaning to a person of ordinary skill in the art in light of the specification.		
'764	1, 4, 5, 7, 11, 12, 14, 17, 18	similarity score	A score that is calculated utilizing a feature vector which characterizes attributes and query words based on a viewed document database, from a Web search engine.	'764 Patent at 1:40-45: "Thus, the order in which the search engine presents the results for a query q may change with time, depending on the behavior of users. Since this technique is timevariant, it is referred to as an adaptive method. In contrast, scoring methods that are time-invariant are referred to as static methods."  '764 Patent at 1:65-67: "A similarity score is calculated for the query utilizing a feature vector that characterizes attributes and query words associated with the document."  '764 Patent at 2:12-22: "A vector constructor forms a feature vector for each viewed document, each feature vector characterizing attributes associated with a selected viewed	Hans-Peter Kriegel et al., Using Sets of Feature Vectors for Similarity Search on Voxelized CAD Objects (2003). VALTRUS-GOOGLE-NDTX-00007330-00007341.  Selim Aksoy and Robert M. Haralick, Feature normalization and likelihoodbased similarity measures for image retrieval, 22 PATTERN RECOGNITION LETTERS 563, 563 (2001). VALTRUS-GOOGLE-NDTX-00007090-00007109.  Dawei Yin et al., Ranking Relevance in Yahoo Search (2016). VALTRUS-GOOGLE-NDTX-00007160-00007169.  Hiroshi Shimodaira, Similarity and recommender systems (2015).

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document and query words associated with the selected viewed document. A similarity processor calculates a similarity score for the query utilizing the feature vector of the selected viewed	VALTRUS-GOOGLE-NDTX- 00007302-00007306.
document. A ranking processor assigns a rank value for the selected viewed document based upon a function that incorporates the relevance score and the similarity score for the selected viewed document."	
'764 Patent at 3:41-42: "As discussed below, the vector characterizes attributes and query words associated with a document."	
'764 Patent at 3:48-52: "The memory 30 also stores a similarity processor 80. As discussed below, the similarity processor 80 calculates a similarity score between a query and a feature vector of a document. Thus, the similarity processor 80 populates a similarity database 82 with a set of similarity score entries 84."	
'764 Patent at 4:14-23: "The user may then view a subset of the documents in a given pattern. The viewing and pattern of viewing suggests document relevance. As indicated in FIG. 2, these viewed documents are then logged (step 102). More particularly, the	

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viewed documents, or identifiers of the
, and the second
documents (e.g., pointers), are stored in
the viewed document database 50. The
entries 52 in the viewed document
database 50 may be expressed as
$\{(d_j,s_j)_q j\epsilon[1,n]\},$ which characterizes the
subset of viewed documents."
'764 Patent at 4:32-44: "A feature vector
for a document characterizes attributes
and query words associated with a
document. The attributes constitute
document signatures. Thus, the
attributes may be in the form of a list of
keywords or other document indicia.
Word frequency is often used as the
feature value. The query words can also
be incorporated into the feature vector.
As a result, each document in the
collection is augmented by a feature
vector, v. This feature vector consists of
not only the document signatures but
also those query words that might
capture information about user's
behavior and interest. This process may
take a certain period of time in order to
build up reliable feature vectors.
'764 Patent at 4:53-65: "More
particularly, the ranking function
adaptively weighs the relevance value
and the similarity score based on their
quality, as derived from users' behavior.
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	There are different ways to implement	
	the ranking function. One	
	implementation is to apply the ranking	
	function to every document returned by	
	the basic search engine and then re-rank	
	the documents based on a combined	
	scoring function of the relevancy score	
	(from the search engine 42) and the	
	similarity score (from the similarity	
	processor 80). A more sophisticated	
	method is to build an index of the	
	feature vectors, which makes it feasible	
	to compute the similarity score between	
	the query and virtually every document	
	in the collection."	
	'764 Patent at 5:10-6:5: "The key is to	
	adaptively weigh the base score and the	
	similarity score based on the their	
	quality. In one embodiment of the	
	invention the quality measure is derived	
	from users' behavior.	
	Assume that a scoring function or a	
	search engine is good if most clicks are	
	among the top T choices (e.g.,	
	corresponding to a page of delivered	
	search results). Let $N_i(Q,T)$ be the total	
	number of viewed documents that	
	appear in the top T candidates for a	
	group of queries Q and N(Q) be the	
	total number of viewed documents for	
	the group of queries Q. The quality of a	
	scoring function is measured by N(Q,	

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T)/N(Q). The larger this value is, the better the quality is. The weights in equation (1) can then be derived from this quality measure as follows.	
$w_i = \frac{1}{2} ln[\theta_i]/((1-\theta_i], i=1, 2,$	
where $\theta_i$ is a clipped quality measure on $s$ (i=1) or $p$ (i=2) defined as follows.	
$\theta_i=MAX(0.5, N_i(Q, T)/N(Q)),$	
It is important to point out that $\theta_i$ is a function of a group of queries Q. One scoring function can be better than another on a particular set of queries, while another may perform better on a different set of queries. The adaptive weighting scheme of the invention can capture the difference in performance, while a static weighting function cannot.	
A number of methods can be used to group individual archived queries into query groups. For example, one can assign queries to one of a set of prespecified categories. All the queries associated with a category belong to a query group. These categories can be defined using the "searching-withincategory" constraint associated with	
search engines. Another approach is to group queries into four groups: (s!, p!),	

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(s, p!), (s!, p), and (s, p), where s
indicates that more clicked documents
of a query q appear in the top T than
outside of the top T, when using
relevancy scores. S! is the opposite of s.
p and p! have the same definition as s
and s!, except that the similarity score p
is used.
The above scheme can be applied
recursively by considering if f(d, s, p, q)
were the score of the basic search
engine. As more and more feedback is
obtained over time, new features will
boost the relevant documents to the top
T choices by using equation (1)
recursively. The scheme requires that
feature vectors be indexed periodically.
A search engine is preferably scheduled
to update the weights in equation (1)
daily, weekly, or monthly. Accordingly,
users' experience improved performance
over the time.
The above schemes assume that the
similarity measure is pre-defined. As
more feedback is obtained over time,
one can optimize the similarity measure
in such a way that the top T choices of
the search results based on the similarity
measure will include as many relevant
documents that had rank >T (low-rank)
by the previous ranking function. A
sequence of such similarity measures
sequence of such similarity incasures

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can be trained, each of which emphasizes the viewed low-rank documents. The final relevant score is then computed as follows.	
$f(d, s, p, q) = (w_0 s + \sum_{i=1}^{k} w_i p) / (w_0 + \sum_{i=1}^{k} w_i),$	
It can be shown that the probability of a viewed document being excluded from the top T choices will converge exponentially to zero as k increases, provided that (i) N <sub>i</sub> (Q, T)/N(Q)>0.5 for all k, and (ii) the number of distinct viewed documents for any query is less	
than T."  '764 Patent at 6:16-20: "A similarity score for the query is then calculated utilizing a feature vector characterizing attributes and query words associated with the document."	
'764 Patent at 4:45-50: "The next processing step in FIG. 2 is to calculate a similarity score for the query utilizing the feature vector (step 108). Again considering the query q, a similarity measure, p(q,v), can be defined between q and a feature vector v. For example,	
the commonly used cosine similarity can be used."  FIGS. 1 and 2.	

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'764 Patent at 3:41-47: "Each entry 74 includes a document identification value	
and an associated vector. As discussed	
below, the vector characterizes	
attributes and query words associated	
with a document. The attributes may be	
key words found within the document.	
The query words may be query words	
used in previous searches that resulted	
in the identification of the document."	
'764 Patent at 4:30-44: "The contents of	
the document-query database 62 may	
then be used to form a feature vector for	
each viewed document. A feature vector	
for a document characterizes attributes	
and query words associated with a	
document. The attributes constitute	
document signatures. Thus, the	
attributes may be in the form of a list of	
keywords or other document indicia.	
Word frequency is often used as the	
feature value. The query words can also	
be incorporated into the feature vector.	
As a result, each document in the	
collection is augmented by a feature	
vector, v. This feature vector consists of	
not only the document signatures but	
also those query words that might	
capture information about user's	
behavior and interest. This process may	
take a certain period of time in order to	
build up reliable feature vectors."	

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'764 Patent at 1:56-59: "In view of the
foregoing, it would be highly desirable
to provide a technique that selectively
· · · · · · · · · · · · · · · · · · ·
emphasizes a static method or an
adaptive method to achieve optimal
search results for a given query."
'764 Patent at 2:3-21: "The invention
also includes a computer readable
memory to rank search results. The
computer readable memory includes a
search engine to produce relevance
search results based upon a query, the
relevance search results including a list
of documents, wherein each document
includes an associated relevance score.
A viewed document database stores
viewed document indicia corresponding
to documents viewed in response to the
relevance search results. A viewed
document processor associates the
viewed document indicia with different
queries. A vector constructor forms a
feature vector for each viewed
document, each feature vector
characterizing attributes associated with
a selected viewed document and query
words associated with the selected
viewed document. A similarity
processor calculates a similarity score
for the query utilizing the feature vector
of the selected viewed document. A
ranking processor assigns a rank value
for the selected viewed document based

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upon a function that incorporates the	
relevance score and the similarity score	
for the selected viewed document."	
for the selected viewed document."	
NT(A D 2 22 20 HTM	
'764 Patent at 2:22-29: "The invention	
also includes a computer readable	
memory with a search engine to	
produce a relevance score for a	
document in view of a query. A	
similarity processor calculates a	
similarity score for the query utilizing a	
feature vector that characterizes	
attributes and query words associated	
with the document. A rank processor	
assigns a rank value to the document	
based upon the relevance score and the	
similarity score."	
Similarity score.	
'764 Patent Prosecution History,	
VALTRUS-GOOGLE-NDTX-	
00000472-00000480: "But nowhere	
does [prior art] Diamond teach or	
suggest that a similarity score can be	
determined using query words of a	
different query associated with a	
document, such as a stored document in	
a database. At most, Diamond discloses	
determining individual scores, as	
similarity scores, by comparing a query	
against subject field codes and terms,	
both of which appear to be derived	
solely from the text of a document	
As such, the similarity score of	
Diamond cannot be said to be	
Diamond Cannot be said to be	

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equivalent to the similarity score of the claimed invention."	
'764 Patent Prosecution History, VALTRUS-GOOGLE-NDTX- 00000483-00000486.	
'764 Patent Prosecution History, VALTRUS-GOOGLE-NDTX- 00000416-00000440.	
U.S. Patent No. 5,893,095, cited on face of '764 Patent, at 9:56-58: "The output of the image analysis module 122 is a feature vector (FV) that describes the visual object passed to it by one of modules 108, 110 or 112.	
U.S. Patent No. 5,893,095, cited on face of '764 Patent, at 12:34-36: "A feature vector is a concatenation of a set of feature data elements corresponding to a set of primitives in a schema (further described hereinbelow)."	
'764 Patent Prosecution History at VALTRUS-GOOGLE-NDTX- 00000428-00000429: "[One] approach to the ranking problem views the set of scores assigned by a ranking function as a vector in a high-dimensional vector space and defines a utility function that	
takes as input a target vector, a set of vectors that will approximate the target, and some free parameters such as	

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weights to form a linear combination of
the set of vectors."
U.S. Patent 5,893,095, cited on face of
'764 Patent, at Abstract: "A system and
method for content-based search and
retrieval of visual objects. A base visual
information retrieval (VIR) engine
utilizes a set of universal primitives to
operate on the visual objects. An
extensible VIR engine allows custom,
modular primitives to be defined and registered. A custom primitive
addresses domain specific problems and
can utilize any image understanding
technique. Object attributes can be
extracted over the entire image or over
only a portion of the object. A schema
is defined as a specific collection of
primitives. A specific schema implies a
specific set of visual features to be
processed and a corresponding feature
vector to be used for content-based
similarity scoring. A primitive
registration interface registers custom
primitives and facilitates storing of an
analysis function and a comparison
function to a schema table. A
heterogeneous comparison allows
objects analyzed by different schemas
to be compared if at least one primitive
is in common between the schemas. A
threshold-based comparison is utilized
to improve performance of the VIR

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vectors is computed in any of the comparison processes so as to generate a similarity score."  U.S. Patent 5,893,095, cited on face of '764 Patent, at 7:42-67: "One presently preferred implementation is as follows. For visual information, features may belong to five abstract data types: values, distributions, indexed values, indexed distributions, and graphs. A value is, in the general case, a set of vectors that may represent some global property of the image. The global color of an image, for example, can be a vector of RGB values, while the dominant colors of an image can be defined as the set of k most frequent RGB vectors in an image. A distribution, such as a color histogram is typically defined on an n-dimensional space which has been partitioned into b buckets. Thus, it is a b-dimensional vector. An indexed value is a value local to a region of an image or a time point in a video or both; as a data type it		engine. A distance between two feature	
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local to a region of an image or a time		· · · · · · · · · · · · · · · · · · ·	
point in a video or both; as a data type it			
is an indexed set of vectors. The index			
can be one-dimensional as in the key-		can be one-dimensional as in the key-	
frame number for a video, or it can be			
multi-dimensional as in the orthonormal		multi-dimensional as in the orthonormal	
bounding box coordinates covering an		bounding box coordinates covering an	
image segment. An indexed distribution		image segment. An indexed distribution	
is a local pattern such as the intensity		is a local pattern such as the intensity	

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profile of a region of interest, and can
be derived from a collection of b-
dimensional vectors by introducing an
index. A graph represents relational
information, such as the relative spatial
position of two regions of interest in an
image. We do not consider a graph as a
primary type of interest, because it can
be implemented in terms of the other
four data types, with some application-
dependent rules of interpretation (e.g.
transitivity of spatial predicates, such as
left-of)."
U.S. Patent 5,893,095, cited on face of
'764 Patent, at 3:15-52: "A typical
content-based retrieval system might be
described as follows: image features are
precomputed during an image insertion
phase. These representations may
include characteristics such as local
intensity histograms, edge histograms,
region-based moments, spectral
characteristics, and so forth. These
features are then stored in a database as
structured data. A typical query
involves finding the images which are
"visually similar" to a given candidate
image. In order to submit a query, a user
presents (or constructs) a candidate
image. This query image may already
have features associated with it (i.e., an
image which already exists within the
database), or may be novel, in which

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case a characterization is performed "on
the fly" to generate features. Once the
query image has been characterized, the
query executes by comparing the
features of the candidate image against
those of other images in the database.
The result of each comparison is a
scalar score which indicates the degree
of similarity. This score is then used to
rank order the results of the query. This
process can be extremely fast because
image features are pre-computed during
the insertion phase, and distance
functions have been designed to be
extremely efficient at query time. There
are many variants on this general
scheme, such as allowing the user to
express queries directly at the feature
level, combining images to form
queries, querying over regions of
interest, and so forth.
General systems (using color, shape,
etc.) are adequate for applications with
a broad image domain, such as generic
stock photography. In general, however,
these systems are not applicable to
specific, constrained domains. It is not
expected, for example, that a texture
similarity measure that works well for
nature photography will work equally
well for mammography. If mammogram
databases need to be searched by image
content, one would need to develop

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specific features and similarity measures. This implies that a viable content-based image retrieval system will have to provide a mechanism to define arbitrary image domains and allow a user to query on a user-defined schema of image features and similarity	
metrics."  U.S. Patent 5,893,095, cited on face of '764 Patent, at 4:4-13: "Some of these features are computed globally, i.e., over an entire image, and some are local, i.e., computed over a small region	
in the image. The VIR Engine expresses visual features as image "primitives". Primitives can be very general (such as color, shape, or texture) or quite domain specific (face recognition, cancer cell detection, etc.). The basic philosophy	
underlying this architecture is a transformation from the data-rich representation of explicit image pixels to a compact, semantic-rich representation of visually salient characteristics."	
U.S. Patent 5,963,940, cited on face of '764 Patent, at 9:51-61: "Each information bearing word in a text is looked up in the online, lexical resource. If the word is in the lexicon, it is assigned a single, unambiguous subject code using, if necessary, a	

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	process of disambiguation. Once each content-bearing word in a text has been assigned a single SFC, the frequencies of the codes for all words in the document are combined to produce a fixed length, subject-based vector representation of the document's contents. This relatively high-level, conceptual representation of documents and queries is an important representation of texts used for later matching and ranking."  U.S. Patent 6,026,388, cited on face of '764 Patent, at 10:1-11: "Each information bearing word in a text is looked up in the online, lexical resource. If the word is in the lexicon, it is assigned a single, unambiguous subject code using, if necessary, a process of disambiguation. Once each content-bearing word in a text has been assigned a single SFC, the frequencies of the codes for all words in the document are combined to produce a fixed length, subject-based vector representation of the document's contents. This relatively high-level, conceptual representation of documents and queries is an important representation of texts used for later matching and ranking."	
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U.S. Patent 6,289,353, cited on face of
'764 Patent, at 1:46-59: "On the other
hand, some large-scale systems which
lack mechanisms for adaptation have
successfully exploited the statistical
relationships among, documents and
terms found in those documents, for
storage and retrieval of documents and
other information items. For example,
U.S. Pat. No. 5,619,709 to Caid, et. al.,
describes generation of context vectors
that represent conceptual relationships
among information items. The context
vectors in Caid, et. al. are developed
based on word proximity in a static
training corpus. The context vectors do
not adapt to user profile information,
new information sources, or user
feedback regarding the relevancy of
documents retrieved by the system.
Thus, the system in Caid, et. al. does not
evolve over time to provide more
relevant document retrieval."
U.S. Patent 6,289,353, cited on face of
'764 Patent, at 2:59-3:9: "Machine
learning techniques are used to facilitate
automated emergence of useful
mathematical spaces in which
information elements are represented as
vectors of real numbers. A first machine
learning technique automatically
generates a set of axes that characterize
the central semantic dimensions of a

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collator's set of documents. The	
procedure begins with the set of	
documents coded as vectors of term	
frequencies in an information space	
spanned by a dictionary of all terms in	
the set. The collator then finds a	
reduced dimensionality space spanned	
by a set of concepts which are central to	
a significant portion of the set of	
documents. The original information	
space, spanned by the entire dictionary,	
is mapped into a low-dimensional space	
spanned by a set of central concepts.	
The new low-dimensional space	
represents a particular view of the	
portion of the database represented by	
the collator's set of documents. The	
database portion is not chosen in	
advance, but evolves	
contemporaneously with the vector	
space structure which emerges."	
space structure which emerges.	
U.S. Patent 5,642,502, cited on face of	
'764 Patent, at Abstract: "Search system	
and method for retrieving relevant	
documents from a text data base	
collection comprised of patents, medical	
and legal documents, journals, news	
stories and the like. Each small piece of	
text within the documents such as a	
sentence, phrase and semantic unit in	
the data base is treated as a document.	
Natural language queries are used to	
search for relevant documents from the	
search for relevant documents from the	

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data base. A first search query creates a
selected group of documents. Each
word in both the search query and in the
documents are given weighted values.
Combining the weighted values creates
similarity values for each document
which are then ranked according to their
relevant importance to the search query.
A user reading and passing through this
ranked list checks off which documents
are relevant or not. Then the system
automatically causes the original search
query to be updated into a second
search query which can include the
same words, less words or different
words than the first search query.
Words in the second search query can
have the same or different weights
compared to the first search query. The
system automatically searches the text
data base and creates a second group of
documents, which as a minimum does
not include at least one of the
documents found in the first group. The
second group can also be comprised of
additional documents not found in the
first group. The ranking of documents
in the second group is different than the
first ranking such that the more relevant
documents are found closer to the top of
the list."
the list.
LLS Potent 5 802 005 sited on food of
U.S. Patent 5,893,095, cited on face of
'764 Patent, at 4:39-57: "An important

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concept in content-based retrieval is to
determine how similar two pictures are
to one another. The notion of similarity
(versus exact matching as in database
, ·
systems) is appropriate for visual
information because multiple pictures of
the same scene will not necessarily
"match," although they are identical in
content. In the paradigm of content-
based retrieval, pictures are not simply
matched, but are ranked in order of their
similarity to the query image. Another
benefit is that content extraction results
in very high information compression.
The content of an image file may be
expressed in as little as several hundred
bytes of memory, regardless of the
original image size. As an image is
inserted into a VIMSYS database, the
system extracts the content in terms of
generic image properties such as its
color, texture, shape and composition,
and uses this information for all
subsequent database operations. Except
for display, the original image is not
accessed. Naturally, the VIMSYS
model also supports textual attributes as
do all standard databases."
uo an standard databases.
IJS Potent 5 642 502 cited on food of
U.S. Patent 5,642,502, cited on face of
'764 Patent, at 1:35-42: "Statistically
based text retrieval systems generally
rank retrieved documents according to
their statistical similarity to a user's

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		search request(referred to often as the query). Statistically based systems provide advantages over traditional Boolean retrieval methods, especially for users of such systems, mainly because they allow for natural language input."  U.S. Patent 5,642,502, cited on face of '764 Patent, at FIG. 2.	
feature vector [cl. 1: that characterizes attributes and query words of a different query associated with said document]	A vector, which characterizes attributes and query words based on a viewed document database, from a Web search engine.	'764 Patent at 3:41-47: "Each entry 74 includes a document identification value and an associated vector. As discussed below, the vector characterizes attributes and query words associated with a document. The attributes may be key words found within the document. The query words may be query words used in previous searches that resulted in the identification of the document."  '764 Patent at 1:65-66: "A similarity score is calculated for the query utilizing a feature vector that characterizes attributes and query words associated with the document."  '764 Patent at 4:30-44: "The contents of the document-query database 62 may then be used to form a feature vector for each viewed document. A feature vector	"Feature." Dictionary of Computer Science, Engineering, and Technology 180 (Phillip A. Laplante ed., 2nd ed. 2001). VALTRUS-GOOGLE-NDTX-00007416-00007434.  "Feature." Steven M. Kaplan, Wiley Electrical and Electronics Engineering Dictionary 277 (2004). VALTRUS-GOOGLE-NDTX-00007435-00007455.  "Feature." Microsoft Computer Dictionary 208 (Alex Blanton and Sandra Haynes, eds., 5th ed. 2002). VALTRUS-GOOGLE-NDTX-00007483-00007498.  "Feature." Harry Newton, Newton's Telecom Dictionary 390-91 (24th ed. 2008). VALTRUS-GOOGLE-NDTX-00007499-00007516.

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		and query words associated with a	
		document. The attributes constitute	"Feature." Collins English Dictionary
		document signatures. Thus, the	595 (7th ed. 2005). VALTRUS-
		attributes may be in the form of a list of	GOOGLE-NDTX-00007568-00007587.
		keywords or other document indicia.	
		Word frequency is often used as the	"Feature." The New Oxford American
		feature value. The query words can also	Dictionary 615 (Erin McKean ed., 2nd
		be incorporated into the feature vector.	ed. 2005). VALTRUS-GOOGLE-
		As a result, each document in the	NDTX-00007588-00007606.
		collection is augmented by a feature	
		vector, v. This feature vector consists of	"Vector." Alan Freedman, Computer
		not only the document signatures but	Desktop Encyclopedia 518 (9th ed.
		also those query words that might	2001). VALTRUS-GOOGLE-NDTX-
		capture information about user's	00007401-00007415.
		behavior and interest. This process may	
		take a certain period of time in order to	"Vector." Dictionary of Computer
		build up reliable feature vectors."	Science, Engineering, and Technology
			180 (Phillip A. Laplante ed., 2nd ed.
		'764 Patent at 4:14-23: "The user may	2001). VALTRUS-GOOGLE-NDTX-
		then view a subset of the documents in a	00007416-00007434.
		given pattern. The viewing and pattern	
		of viewing suggests document	"Vector." Steven M. Kaplan, Wiley
		relevance. As indicated in FIG. 2, these	Electrical and Electronics Engineering
		viewed documents are then logged	Dictionary 833 (2004). VALTRUS-
		(step 102). More particularly, the	GOOGLE-NDTX-00007435-00007455.
		viewed documents, or identifiers of the	
		documents (e.g., pointers), are stored in	"Vector." Rudolf F. Graf, Modern
		the viewed document database 50. The	Dictionary of Electronics 826 (1999).
		entries 52 in the viewed document	VALTRUS-GOOGLE-NDTX-
		database 50 may be expressed as	00007456-00007470.
		$\{(d_j,s_j)_q j\epsilon[1,n]\}$ , which characterizes the	
		subset of viewed documents."	"Vector." S.M.H. Collin, Dictionary of

Computing 348 (5th ed. 2004).

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FIG. 2.	VALTRUS-GOOGLE-NDTX-
	00007471-00007482.
'764 Patent at 1:56-59: "In view of the	
foregoing, it would be highly desirable	"Vector." Microsoft Computer
to provide a technique that selectively	Dictionary 548 (Alex Blanton and
emphasizes a static method or an	Sandra Haynes, eds., 5th ed. 2002).
adaptive method to achieve optimal	VALTRUS-GOOGLE-NDTX-
search results for a given query."	00007483-00007498.
'764 Patent at 2:3-21: "The invention	"Vector." Harry Newton, Newton's
also includes a computer readable	Telecom Dictionary 986 (24th ed. 2008).
memory to rank search results. The	VALTRUS-GOOGLE-NDTX-
computer readable memory includes a	00007499-00007516.
search engine to produce relevance	
search results based upon a query, the	"Vector." Dick Pountain, The New
relevance search results including a list	Penguin Dictionary of Computing 526-
of documents, wherein each document	27 (2001). VALTRUS-GOOGLE-
includes an associated relevance score.	NDTX-00007517-00007536.
A viewed document database stores	
viewed document indicia corresponding	"Vector." Collins English Dictionary
to documents viewed in response to the	1777 (7th ed. 2005). VALTRUS-
relevance search results. A viewed	GOOGLE-NDTX-00007568-00007587.
document processor associates the	
viewed document indicia with different	"Vector." E.J. Borokowsi and J.M.
queries. A vector constructor forms a	Borwein, Collins Dictionary of
feature vector for each viewed	Mathematics 595 (2nd ed. 2007).
document, each feature vector	VALTRUS-GOOGLE-NDTX-
characterizing attributes associated with	00007556-00007567.
a selected viewed document and query	
words associated with the selected	"Vector." The New Oxford American
viewed document. A similarity	Dictionary 1863 (Erin McKean ed., 2nd
processor calculates a similarity score	ed. 2005). VALTRUS-GOOGLE-
for the query utilizing the feature vector	NDTX-00007588-00007606.
of the selected viewed document. A	

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ranking processor assigns a rank value	"Feature Vector." William Raynor, The
for the selected viewed document based	International Dictionary of Artificial
upon a function that incorporates the	Intelligence 106 (1999). VALTRUS-
relevance score and the similarity score	GOOGLE-NDTX-00007607-00007901.
for the selected viewed document."	
	Sergey Brin and Lawrence Page, The
'764 Patent at 2:22-29: "The invention	Anatomy of a Large-Scale Hypertextual
also includes a computer readable	Web Search Engine (1998). VALTRUS-
memory with a search engine to	GOOGLE-NDTX-00007266-00007285.
produce a relevance score for a	
document in view of a query. A	Hans-Peter Kriegel et al., Using Sets of
similarity processor calculates a	Feature Vectors for Similarity Search on
similarity score for the query utilizing a	Voxelized CAD Objects (2003).
feature vector that characterizes	VALTRUS-GOOGLE-NDTX-
attributes and query words associated	00007330-00007341.
with the document. A rank processor	00007330 00007311.
assigns a rank value to the document	Selim Aksoy and Robert M. Haralick,
based upon the relevance score and the	Feature normalization and likelihood-
similarity score."	based similarity measures for image
similarity score.	retrieval, 22 PATTERN RECOGNITION
1764 Potent at 5.10 6.5. "The leaving to	LETTERS 563, 563 (2001). VALTRUS-
'764 Patent at 5:10-6:5: "The key is to	GOOGLE-NDTX-00007090-00007109.
adaptively weigh the base score and the	GOOGLE-ND1A-0000/090-0000/109.
similarity score based on the their	Dayyai Vin at al. Danking Dalayanaa in
quality. In one embodiment of the	Dawei Yin et al., Ranking Relevance in
invention the quality measure is derived	Yahoo Search (2016). VALTRUS-
from users' behavior.	GOOGLE-NDTX-00007160-00007169.
	Hiroshi Shimodaira, Similarity and
Assume that a scoring function or a	
search engine is good if most clicks are	recommender systems (2015).
among the top T choices (e.g.,	VALTRUS-GOOGLE-NDTX-
corresponding to a page of delivered	00007302-00007306.
search results). Let $N_i(Q,T)$ be the total	
number of viewed documents that	
appear in the top T candidates for a	

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group of queries $Q$ and $N(Q)$ be the total number of viewed documents for the group of queries $Q$ . The quality of a scoring function is measured by $N(Q, T)/N(Q)$ . The larger this value is, the better the quality is. The weights in equation (1) can then be derived from this quality measure as follows. $w_i = \frac{1}{2} ln [\theta_i]/((1-\theta_i), i=1, 2, M)$ where $\theta_i$ is a clipped quality measure on $\theta_i$ is a clipped quality measure on $\theta_i$ is a function of a group of queries $\theta_i$ . It is important to point out that $\theta_i$ is a function of a group of queries $\theta_i$ . One scoring function can be better than another on a particular set of queries, while another may perform better on a different set of queries. The adaptive weighting scheme of the invention can capture the difference in performance, while a static weighting function	
A number of methods can be used to group individual archived queries into query groups. For example, one can assign queries to one of a set of prespecified categories. All the queries associated with a category belong to a query group. These categories can be	

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defined using the "searching-within-category" constraint associated with search engines. Another approach is to group queries into four groups: (s!, p!), (s, p!), (s!, p), and (s, p), where s indicates that more clicked documents of a query q appear in the top T than outside of the top T, when using relevancy scores. S! is the opposite of s. p and p! have the same definition as s and s!, except that the similarity score p is used.	
The above scheme can be applied recursively by considering if f(d, s, p, q) were the score of the basic search engine. As more and more feedback is obtained over time, new features will boost the relevant documents to the top T choices by using equation (1) recursively. The scheme requires that feature vectors be indexed periodically. A search engine is preferably scheduled to update the weights in equation (1) daily, weekly, or monthly. Accordingly, users' experience improved performance over the time.	
The above schemes assume that the similarity measure is pre-defined. As more feedback is obtained over time, one can optimize the similarity measure in such a way that the top T choices of the search results based on the similarity	

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measure will include as many relevant documents that had rank >T (low-rank) by the previous ranking function. A sequence of such similarity measures can be trained, each of which emphasizes the viewed low-rank documents. The final relevant score is then computed as follows.	
$f(d, s, p, q) = (w_0 s + \sum_{i=1}^k w_i p) / (w_0 + \sum_{i=1}^k w_i),$ It can be shown that the probability of a	
viewed document being excluded from the top T choices will converge exponentially to zero as k increases, provided that (i) N <sub>i</sub> (Q, T)/N(Q)>0.5 for all k, and (ii) the number of distinct viewed documents for any query is less than T."	
'764 Patent at 6:16-20: "A similarity score for the query is then calculated utilizing a feature vector characterizing attributes and query words associated with the document."	
FIGS. 1, 2, and 3.  U.S. Patent No. 5,893,095, cited on face of '764 Patent, at 9:56-58: "The output of the image analysis module 122 is a feature vector (FV) that describes the	

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visual object passed to it by one of modules 108, 110 or 112.  U.S. Patent No. 5,893,095, cited on face of '764 Patent, at 12:34-36: "A feature vector is a concatenation of a set of feature data elements corresponding to a set of primitives in a schema (further described hereinbelow)."	
'764 Patent Prosecution History at VALTRUS-GOOGLE-NDTX-00000428-00000429: "[One] approach to the ranking problem views the set of scores assigned by a ranking function as a vector in a high-dimensional vector space and defines a utility function that takes as input a target vector, a set of vectors that will approximate the target, and some free parameters such as weights to form a linear combination of the set of vectors."	
U.S. Patent 5,893,095, cited on face of '764 Patent, at Abstract: "A system and method for content-based search and retrieval of visual objects. A base visual information retrieval (VIR) engine utilizes a set of universal primitives to operate on the visual objects. An extensible VIR engine allows custom, modular primitives to be defined and registered. A custom primitive addresses domain specific problems and	

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can utilize any image understanding
technique. Object attributes can be
extracted over the entire image or over
only a portion of the object. A schema
is defined as a specific collection of
primitives. A specific schema implies a
specific set of visual features to be
processed and a corresponding feature
vector to be used for content-based
similarity scoring. A primitive
registration interface registers custom
primitives and facilitates storing of an
analysis function and a comparison
function to a schema table. A
heterogeneous comparison allows
objects analyzed by different schemas
to be compared if at least one primitive
is in common between the schemas. A
threshold-based comparison is utilized
to improve performance of the VIR
engine. A distance between two feature
vectors is computed in any of the
comparison processes so as to generate
a similarity score."
II.S. Potent 5 902 005 sited on fees of
U.S. Patent 5,893,095, cited on face of
'764 Patent, at 7:42-67: "One presently
preferred implementation is as follows.
For visual information, features may
belong to five abstract data types:
values, distributions, indexed values,
indexed distributions, and graphs. A
value is, in the general case, a set of
vectors that may represent some global

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property of the image. The global color	
of an image, for example, can be a	
vector of RGB values, while the	
dominant colors of an image can be	
defined as the set of k most frequent	
RGB vectors in an image. A	
distribution, such as a color histogram is	
typically defined on an n-dimensional	
space which has been partitioned into b	
buckets. Thus, it is a b-dimensional	
vector. An indexed value is a value	
local to a region of an image or a time	
point in a video or both; as a data type it	
is an indexed set of vectors. The index	
can be one-dimensional as in the key-	
frame number for a video, or it can be	
multi-dimensional as in the orthonormal	
bounding box coordinates covering an	
image segment. An indexed distribution	
is a local pattern such as the intensity	
profile of a region of interest, and can	
be derived from a collection of b-	
dimensional vectors by introducing an	
index. A graph represents relational	
information, such as the relative spatial	
position of two regions of interest in an	
image. We do not consider a graph as a	
primary type of interest, because it can	
be implemented in terms of the other	
four data types, with some application-	
dependent rules of interpretation (e.g.	
transitivity of spatial predicates, such as	
left-of)."	
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<u> </u>
U.S. Patent 5,893,095, cited on face of
'764 Patent, at 3:15-52: "A typical
content-based retrieval system might be
described as follows: image features are
precomputed during an image insertion
phase. These representations may
include characteristics such as local
intensity histograms, edge histograms,
region-based moments, spectral
characteristics, and so forth. These
features are then stored in a database as
structured data. A typical query
involves finding the images which are
"visually similar" to a given candidate
image. In order to submit a query, a user
presents (or constructs) a candidate
image. This query image may already
have features associated with it (i.e., an
image which already exists within the
database), or may be novel, in which
case a characterization is performed "on
the fly" to generate features. Once the
query image has been characterized, the
query executes by comparing the
features of the candidate image against
those of other images in the database.
The result of each comparison is a
scalar score which indicates the degree
of similarity. This score is then used to
rank order the results of the query. This
process can be extremely fast because
image features are pre-computed during
the insertion phase, and distance
functions have been designed to be

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extremely efficient at query time. There	
are many variants on this general	
scheme, such as allowing the user to	
express queries directly at the feature	
level, combining images to form	
queries, querying over regions of	
interest, and so forth.	
General systems (using color, shape,	
etc.) are adequate for applications with	
a broad image domain, such as generic	
stock photography. In general, however,	
these systems are not applicable to	
specific, constrained domains. It is not	
expected, for example, that a texture	
similarity measure that works well for	
nature photography will work equally	
well for mammography. If mammogram	
databases need to be searched by image	
content, one would need to develop	
specific features and similarity	
measures. This implies that a viable	
content-based image retrieval system	
will have to provide a mechanism to	
define arbitrary image domains and	
allow a user to query on a user-defined	
schema of image features and similarity	
metrics."	
U.S. Patent 5,893,095, cited on face of	
'764 Patent, at 4:4-13: "Some of these	
features are computed globally, i.e.,	
over an entire image, and some are	
local, i.e., computed over a small region	
rocar, n.e., compared over a sman region	

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	in the image. The VIR Engine expresses visual features as image "primitives". Primitives can be very general (such as color, shape, or texture) or quite domain specific (face recognition, cancer cell detection, etc.). The basic philosophy underlying this architecture is a transformation from the data-rich representation of explicit image pixels to a compact, semantic-rich representation of visually salient characteristics."  U.S. Patent 5,963,940, cited on face of '764 Patent, at 9:51-61: "Each information bearing word in a text is looked up in the online, lexical resource. If the word is in the lexicon, it is assigned a single, unambiguous subject code using, if necessary, a process of disambiguation. Once each content-bearing word in a text has been assigned a single SFC, the frequencies of the codes for all words in the document are combined to produce a fixed length, subject-based vector representation of the document's contents. This relatively high-level, conceptual representation of documents and queries is an important representation of texts used for later matching and ranking."	
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U.S. Patent 6,026,388, cited on face of
'764 Patent, at 10:1-11: "Each
information bearing word in a text is
looked up in the online, lexical
resource. If the word is in the lexicon, it
is assigned a single, unambiguous
subject code using, if necessary, a
process of disambiguation. Once each
content-bearing word in a text has been
assigned a single SFC, the frequencies
of the codes for all words in the
document are combined to produce a
fixed length, subject-based vector
representation of the document's
contents. This relatively high-level,
conceptual representation of documents
and queries is an important
representation of texts used for later
matching and ranking."
U.S. Patent 6,289,353, cited on face of
'764 Patent, at 1:46-59: "On the other
hand, some large-scale systems which
lack mechanisms for adaptation have
successfully exploited the statistical
relationships among, documents and
terms found in those documents, for
storage and retrieval of documents and
other information items. For example,
U.S. Pat. No. 5,619,709 to Caid, et. al.,
describes generation of context vectors
that represent conceptual relationships
among information items. The context
vectors in Caid, et. al. are developed

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	based on word proximity in a static	
	training corpus. The context vectors do	
	C 1	
	not adapt to user profile information,	
	new information sources, or user	
	feedback regarding the relevancy of	
	documents retrieved by the system.	
	Thus, the system in Caid, et. al. does not	
	evolve over time to provide more	
	relevant document retrieval."	
	U.S. Patent 6,289,353, cited on face of	
	'764 Patent, at 2:59-3:9: "Machine	
	learning techniques are used to facilitate	
	automated emergence of useful	
	mathematical spaces in which	
	information elements are represented as	
	vectors of real numbers. A first machine	
	learning technique automatically	
	generates a set of axes that characterize	
	the central semantic dimensions of a	
	collator's set of documents. The	
	procedure begins with the set of	
	documents coded as vectors of term	
	frequencies in an information space	
	spanned by a dictionary of all terms in	
	the set. The collator then finds a	
	reduced dimensionality space spanned	
	by a set of concepts which are central to	
	a significant portion of the set of	
	documents. The original information	
	space, spanned by the entire dictionary,	
	is mapped into a low-dimensional space	
	spanned by a set of central concepts.	
	The new low-dimensional space	
	 The Herr to realisticitat space	

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				represents a particular view of the portion of the database represented by the collator's set of documents. The database portion is not chosen in advance, but evolves contemporaneously with the vector space structure which emerges."	
'764	1, 4, 5, 6, 7, 11, 12, 13, 14, 17, 18, 19	rank value	Plain and ordinary meaning to a person of ordinary skill in the art in light of the specification.		
'764	1, 14	in view of a query	A query is one or more words that cause a search engine to return documents it deems relevant	'764 Patent at 1:14-18: "A text search engine receives from a user one or more words of text that form a query. The query may include other search operators, such as Boolean operators, proximity operators and the like. The search engine returns documents that it deems relevant to the query."  '764 Patent at 1:36-45: "On receiving query q, the search engine first retrieves all documents that match the query q; it then ranks them in decreasing order of the values N(d,q). This technique is described in U.S. Pat. Nos. 6,006,222 and 6,014,665. Thus, the order in which the search engine presents the results for a query q may change with time, depending on the behavior of users.	"Query." Alan Freedman, Computer Desktop Encyclopedia 816-17 (9th ed. 2001). VALTRUS-GOOGLE-NDTX- 00007401-00007415.  "Query." Dictionary of Computer Science, Engineering, and Technology 399 (Phillip A. Laplante ed., 2nd ed. 2001). VALTRUS-GOOGLE-NDTX- 00007416-00007434.  "Query." Steven M. Kaplan, Wiley Electrical and Electronics Engineering Dictionary 621 (2004). VALTRUS- GOOGLE-NDTX-00007435-00007455.  "Query." Microsoft Computer Dictionary 433 (Alex Blanton and Sandra Haynes,

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Since this technique is time-variant, it is	eds., 5th ed. 2002). VALTRUS-
referred to as an adaptive method. In	GOOGLE-NDTX-00007483-00007498.
contrast, scoring methods that are time-	
invariant are referred to as static	"Query." Harry Newton, Newton's
methods."	Telecom Dictionary 761 (24th ed. 2008).
	VALTRUS-GOOGLE-NDTX-
'764 Patent at 3:1-5: "Based upon the	00007499-00007516.
query, the search engine 42 produces a	
set of relevance search results 44, which	"Query." Dick Pountain, The New
include individual entries 46. The	Penguin Dictionary of Computing 400
individual entries 46 typically include a	(2001). VALTRUS-GOOGLE-NDTX-
document identification and an	00007517-00007536.
associated relevance score."	
	"Query." Collins English Dictionary
'764 Patent at 4:4-8: "The first operation	1327 (7th ed. 2005). VALTRUS-
illustrated in FIG. 2 is to produce	GOOGLE-NDTX-00007568-00007587.
relevance search results based upon a	
query (step 100). As previously	"Query." The New Oxford American
indicated, a standard search engine 42	Dictionary 1388 (Erin McKean ed., 2nd
may be used to process a query 40 and	ed. 2005). VALTRUS-GOOGLE-
generate relevance search results 44."	NDTX-00007588-00007606.
1761 7	
'764 Patent Prosecution History at	
VALTRUS-GOOGLE-NDTX-	
00000424-00000426: "One of the	
primary tasks of information retrieval is	
searching a large collection of	
documents for those relevant to a	
particular query "	
'764 Patent Prosecution History at	
VALTRUS-GOOGLE-NDTX-	
00000428-00000430: "Each ranking	
function, for example a keyword search,	
runction, for example a keyword search,	

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				orders the documents it returns according to their predicted relevance to the query."  '764 Patent Prosecution History at VALTRUS-GOOGLE-NDTX-00000472-00000480: "For example, Diamond neither teaches nor suggests using query words of a different query associated with a document to calculate a similarity score of the claimed invention."	
'764	1, 2, 7, 9, 14, 15	attribute(s)	Plain and ordinary meaning to a person of ordinary skill in the art in light of the specification.		
'764	1, 7, 14	query words of a different query associated with said document / query words of said different queries associated with said selected viewed document	Plain and ordinary meaning to a person of ordinary skill in the art in light of the specification.		

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'764	3, 10, 16 <sup>2</sup>	the previous identification of said document	Not indefinite.		
'764	6, 13, 19	linear combination function	a weighted sum of scores	'764 Patent at 5:4-10: "One embodiment of the invention utilizes a linear combination scheme for simplicity: $f(d, s, p, q) = (w_1 s + w_2 p)/(w_1 + w_2),$ where w <sub>1</sub> and w <sub>2</sub> are weights for the base score s and similarity score p, respectively."  '764 Patent Prosecution History at VALTRUS-GOOGLE-NDTX-00000428-00000430: "Such an approach to the ranking problem views the set of scores assigned by a ranking function as a vector in a high-dimensional vector space and defines a utility function that takes as input a target vector, a set of vectors that will approximate the target, and some free parameters such as weights to form a linear combination of the set of vectors."  U.S. Patent 5,893,095, cited on face of '764 Patent, at 13:5-10: "The final	"Linear Combination." E.J. Borokowsi and J.M. Borwein, Collins Dictionary of Mathematics 329 (2nd ed. 2007). VALTRUS-GOOGLE-NDTX-00007556-00007567.  Joseph Rabinoff, Systems of Linear Equations: Geometry, at Slide 10. VALTRUS-GOOGLE-NDTX-00007110-00007131.  Jeffrey Wang, NoBS Linear Algebra, at Slide 38 (May 1, 2018). VALTRUS-GOOGLE-NDTX-00007000-00007089.  Digital Image Processing, Digital Image Fundamentals – II, at Slide 12 (June 12, 2017). VALTRUS-GOOGLE-NDTX-00007237-00007265.

<sup>&</sup>lt;sup>2</sup> In the parties' July 5 meet and confer, Google stated that it believes there may be an antecedent basis issue with the recitations of this term.

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		combined score may, for instance, be	
		generated by a linear combination or a	
		weighted sum as follows:	
		weighted sum as follows.	
		$S_f = \sum_i W_i S_i$	
		-j - i - i - i - i - i - i - i - i - i -	
		U.S. Patent 6,269,368, cited on face of	
		'764 Patent, at 16:39-18:33: "4.3	
		Implementing the Score Combiner	
		using a Neural Network and Linear	
		Functions	
		In a preferred embodiment, linear	
		combination functions are used to	
		define the dynamic combination regime.	
		Based on the input information, weight	
		determinator 55WD determines linear	
		coefficients or weights to be applied to	
		each of the individual match scores. In a	
		specific embodiment, weight	
		determinator 55WD is implemented as a	
		neural network that receives the input	
		information and adjusts linear	
		coefficients for each of the alternative	
		representation match scores. In a	
		specific embodiment, a feed-forward,	
		multi-layer neural network is used	
		whose output nodes are the linear	
		weights to be applied to each of the	
		individual match scores. The neural	
		network models functions using a set of	
		nodes arranged into layers including an	
		input layer, and output layer, and one or	
L	L	impat rayer, and output rayer, and one or	

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more intermediate hidden layers. The	
nodes are connected to each other by	
weighted links. FIG. 6 depicts an	
example of a neural network which may	
be used to implement weight	
determinator 55WD. As shown in FIG.	
6, the neural network comprises a set of	
nodes arranged into layers, including an	
input layer, an output layer, and a	
hidden layer. The nodes are connected	
to each other by weighted links. The	
first layer of nodes is made up of a	
number "n" of input nodes labeled	
$(N_{1,1}), (N_{1,2}), \ldots, (N_{1,n})$ corresponding	
to the number of input features which	
may include query specific features,	
document specific features, score	
correlation features, and optionally relevance feedback information. The	
second layer of the neural network	
comprises "m" number of hidden nodes	
labeled $(N_{2,1}), (N_{2,2}), \dots, (N_{2,m})$ . The	
third layer of the neural network	
comprises output nodes corresponding	
to weights to be applied to individual	
scores generated by the individual	
matchers 55 a-55 c. While FIG. 6 shows	
five output nodes labeled $(N_{3,1})$ , $(N_{3,2})$ ,	
$(N_{3,3}), (N_{3,4}), \text{ and } (N_{3,5}) \text{ for simplicity,}$	
the specific embodiment of the	
invention uses six output nodes,	
corresponding to six different	
alternative representations for	
documents and queries. The node layers	

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are connected by links characterized by	
numeric weights <w<sub>l,i,j&gt; which connect</w<sub>	
the i node in layer "l" to the j <sup>th</sup> node in.	
layer (l+1). Each node of the input layer	
(Layer 1) has a link to each of the	
hidden nodes (Layer 2), and each of the	
hidden nodes has a link to each of the	
output nodes (Layer 3).	
When an input $(x_1, \ldots, x_n)$ is presented	
to the network, the input is propagated	
towards the output layer in the	
following manner:	
(1) Node $(N_{1,1})$ sends its input $(x_1)$ to	
node (N <sub>2,1</sub> ) which receives it as	
$(x_1*W_{1,1,1})$ . Similarly, node $(N_{1,1})$ sends	
its input $x_1$ to the remaining nodes in the	
hidden layer, which receive it as the	
product of $x_1$ and the weight associated	
with the link between $(N_{1,1})$ and the	
node in the hidden layer. Node $(N_{1,2})$	
sends its input $(x_2)$ to node $(N_{2,1})$ which	
receives it as (x <sub>2*W 1,2,1</sub> ). Similarly, node	
$(N_{1,2})$ sends input $x_2$ to the remaining	
nodes in the hidden layer, which receive	
it as the product of $x_2$ and the weight	
associated with the link between $(N_{2,1})$	
and the node in the hidden layer. The	
remaining inputs nodes propagate their	
values to the hidden layer in the same	
fashion.	
(2) Node $(N_{2,1})$ sums the signals it	
receives $(x_1*W_{1,1,1}+\ldots+x_1*W_{1,n,1})$ , and	
applies a sigmoid function, to generate	
its output, $(O_{2,1})$ , which is then sent to	

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1 (1) (0 *W ) (1
node $(N_{3,1})$ as $(O_{2,1}*W_{2,1,1})$ , to node
$(N_{3,2})$ as $(O_{2,1}*W_{2,1,2})$ , and similarly to
nodes $(N_{3,3})$ to $(N_{3,5})$ as $(O_{2,1}*W_{2,1,3})$ ,
$(O_{2,1}*W_{1,2,4}), \text{ and } (O_{2,1}*W_{2,1,5}),$
respectively. Nodes $(N_{2,2})$ to $(N_{2,m})$
perform similar operations on their
received signals to produce output
signals $(O_{2,i}*W_{2,i,j})$ , where "i" is the
node number in the hidden layer, and
"j" is the node number in the output
layer. The sigmoid function "squashes"
an input by imposing upper and lower
asymptotes on the output as the input
goes to positive or negative infinity. A
common sigmoid is the logistic
transformation: $f \square (x) = 11 + e - x$ .
$f(y) = \frac{1}{y}$
$f(x) = \frac{1}{1 + e^{-x}}.$
(3) Node $(N_{3,1})$ sums the signals it
receives $(O_{2,1}*W_{2,1,1}+\ldots+O_{2,m}*W_{2,m,1}),$
and applies a sigmoid function, to
generate its output, $(y_1)$ . Nodes $(N_{3,2})$ to
$(N_{3,5})$ perform similar operations on the
signals they receive to generate outputs
$(y_2)$ to $(y_5)$ . Although only five outputs
are shown in FIG. 6, the specific
embodiment of the invention generates
six outputs corresponding to the six
different alternative representations for
documents and queries.
An important characteristic of the
technique described above is that it is
capable of approximating any function,
capacite of approximating any function,

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given a sufficient number of hidden
layers and nodes [Hertz91 pp. 142-4].
The internal weights for links are set by
"training" the neural network.
Feedforward networks are generally
trained using a technique called
"backpropagation." In backpropagation,
the difference between a training case's
"target" output and its "actual" output is
propagated back towards the input
nodes in the form of weight
adjustments. The formulae used for the
weight adjustments depend on an error
function applied to this difference,
usually the square of the difference.
Each training case is presented to the
network for weight adjustment, until the
training cases have been exhausted.
Then another iteration of the same
training cases is presented, possibly in a
different order. Iterations continue until
the sum of squared errors across all
training cases falls below some
threshold, or until a maximum number
of iterations is reached. To prevent
overfitting, the weights attained after
each iteration are applied to a test
sample of cases that are different from
the training cases. If the sum of squared
errors for the test sample for an iteration
exceeds the sum for the previous
iteration, the network may have begun
to represent "noise" in its weights,
indicating that training should be
marcaring that training should be

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stopped. This protection against overfitting is referred to as crossvalidation.  The present invention uses a variant of the general backpropagation algorithm since the usual backpropagation algorithm is not appropriate for training the neural network to predict the optimal weights for the linear fusion functions. The goal of the training is to	
relevant documents. To optimize the network link weights for this goal, the error that is propagated back through the network is inflated by a function of the difference between the ranking of the relevant documents (for the current set of network link weights) and the best ranking possible. The training technique is thus designed to predict the linear weights so as to maximize the proportion of relevant documents to retrieved documents. It should be apparent to those of ordinary skill in the art that although a specific neural network implementation is described above, other neural network implementations and training	
procedures are also encompassed within the scope of the present invention."	

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'764	7	viewed document database	Plain and ordinary meaning to a person of ordinary skill in the art in light of the specification.	
'764	7, 9, 10, 15, 16	vector constructor	Plain and ordinary meaning to a person of ordinary skill in the art in light of the specification.	
'764	7	associated with said selected viewed document	Plain and ordinary meaning to a person of ordinary skill in the art in light of the specification.	
'764	7	similarity processor	Plain and ordinary meaning to a person of ordinary skill in the art in light of the specification.	

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### III. U.S. Patent No. 7,346,604

Patent	Claim(s)	Term	Valtrus's Proposed Construction	Examples of Intrinsic Evidence	Examples of Extrinsic Evidence
'604	1, 5, 6, 7, 11, 12, 13, 14, 15, 16, 19, 20	expert document(s)	A document that is about one or certain topics and has links to many non-affiliated documents on those topics.	'604 Patent at 2:54-56: "An 'expert page' is a page that is about a certain topic and has links to many 'non-affiliated' pages on that topic."  '604 Patent at 4:48-64: "An expert page is a page that is about a certain topic and has links to many non-affiliated pages on that topic. Two pages are non-affiliated conceptually if they are authored by authors from non-affiliated organizations. In a pre-processing step 212, a subset of the pages crawled by a search engine are identified as experts (for example, 2.5 million of 140 million or so pages might be found to be experts). The pages in this subset are indexed in a special inverted index called an expert reverse index.  A. Creating an Expert Reverse Index  After receipt of an input query 214, a lookup 216 is done on the expert reverse index to find and rank matching "expert pages." This phase computes the best expert pages on the query topic and as well as associated match information.	

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Then a target ranking 218 is performed.	$\neg$
In this embodiment, match information	
is defined as the location(s) where query	
terms occur within the expert page."	
'604 Patent at 4:65-5:40:	
"a) Determining Page Affiliation	
Two pages are "affiliated" if and only if	
the hosts they are located on are known	
to be affiliated. Thus, as shown in FIG.	
10, a determination about whether two	
pages are affiliated is made by looking	
at the hosts of the pages.	
at the hosts of the pages.	
Two hosts are affiliated if one or both of	
the following is true:	
the following is true.	
(i) They shows the same first 2 estate of	
(i) They share the same first 3 octets of	
the IP address, and	
(") TPI : 1 ( ) ( ) ( )	
(ii) The rightmost non-generic token in	
the hostname is the same.	
In the described embodiment, only	
suffixes beginning with a period "." are	
considered. A suffix is considered	
generic if it occurs in a large number of	
distinct hosts. E.g., ".com" and ".co.uk"	
are domain names that occur in a large	
number of hosts and are hence generic	
suffixes. Given two hosts, if the generic	
suffix in each case is stripped and the	
subsequent right-most token is the	
subsequent right-most token is the	

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same, they are considered to be
affiliated. Tokens are substrings of the
hostname delimited by ".".
For example, in comparing
"www.ibm.com" and "ibm.co.mx," the
generic suffixes ".com" and ".co.mx"
are ignored. The resulting rightmost
token is "ibm," which is the same in
both cases. Hence they are considered
to be affiliated. Optionally, the generic
suffix could be required to be the same
in both cases. The affiliation relation is
transitive: if A and B are affiliated and
B and C are affiliated then we take A
and C to be affiliated even if there is no
direct evidence of the fact. In practice
some non-affiliated hosts may be
classified as affiliated, but that is
usually acceptable since this relation is
intended to be conservative.
intended to be conservative.
Preprocessing step 202 of FIG. 2(a)
preferably constructs a host-affiliation
lookup. Using a standard union-find
method, hosts are grouped that either
share the same rightmost non-generic
suffix or have an IP address in common,
into sets. Every set is given a unique
identifier (e.g., the host with the
lexicographically lowest hostname).
The host-affiliation lookup maps every
host to its set identifier or to itself
(when there is no set). This is used to

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compare hosts. If the lookup maps two
hosts to the same value then they are
affiliated; otherwise they are non-
affiliated. Thus, in the described
embodiment, the test for affiliation can
be done very quickly."
FIGS. 2(a) and 10.
'604 Patent at 5:41-61: "b) Finding Expert Pages
Step 202 preferably processes a search
engine's database of pages and selects a
subset which is considered to be good
sources of links on specific topics,
albeit unknown.
As shown in element 302 of FIG. 3(a),
all pages with out-degree greater than a
threshold, k (e.g., k=5) are considered.
The out-degree is the number of out-
going links from the page. In element
306, if the URLs of a such a page point
to k distinct non-affiliated hosts that are
mutually non-affiliated as well, the page
is an expert page (see element 308). In
the described embodiment, all selected
expert pages are downloaded from the
web if they are not already available.
FIG. 3(b) shows an alternate method of
determining expert pages. As shown in
element 317 of FIG. 3(b), if a broad
classification (such as Arts, Science,

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Internet etc.) is known for every page in the search engine database then we can additionally require that all the k non-affiliated URLs discovered in the previous step point to pages that share the same broad classification."	
FIGS. 3(a) and 3(b).	
'604 Patent at 6:37-7:17:	
"B. Ranking Experts	
For an expert to be useful in response to a query, the minimum requirement is that there is at least one URL which contains all the query keywords in the key phrases that qualify it. A fast approximation would be to require all query keywords to occur in the page. Thereupon the an Expert Score of the expert is computed as a 3-tuple of the form (S <sub>0</sub> , S <sub>1</sub> , S <sub>2</sub> ).  FIG. 8 is a flow chart of a method of ranking expert pages in accordance with	
a current query.	
Element 802 shows how to determine a level score for a current phrase on a current expert page. LevelScore(p) is a score assigned to the phrase by virtue of the type of phrase it is. E.g., we could use a LevelScore of 16 for title phrases,	

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6 for headings and 1 for anchor to	ext.
This is based on the assumption t	
title text is more useful than the h	
text, which is more useful than a	
anchor text match in determining	g what
the expert page is about.	
Element 804 shows how to determ	mine a
fullness factor for a current phras	se (p)
on a current expert page for the o	query
FullnessFactor(p,q) is a measure	of the
number of terms in p covered by	
terms in q. Let plen be the length	
Let m be the number of terms in	*
which are not in q (i.e., surplus to	erms in
the phrase).	
If $m \le 2$ , Fullness Factor $(p,q) = 1$	
If $m > 2$ Fig. 11, $m = 2$ Fig. 11, $m$	2 \/-1
If $m > 2$ , Fullness Factor $(p,q) = 1 + (2)$	2-m)/pi
l en	
Element <b>806</b> shows how to determ	mine
the expert score for a current exp	
page. Let k be the number of term	
the input query, q. The component	
the score is computed by conside	
only key phrases that contain pre	
$k-i$ of the query terms. E.g., $S_0$ is	
score computed from phrases cor	
all the query terms.	

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$S := \Sigma_{\text{(key phrases p with k-i query terms)}} \text{LevelScore}(p) *FullnessFactor}(p,q)$	
The score of the expert can be converted into a scalar by determining a weighted sum of the components. E.g., ExpertScore= $2^{32}$ *S <sub>0</sub> + $2^{16}$ *S <sub>1</sub> +S <sub>2</sub> . In other words, S <sub>0</sub> , S <sub>1</sub> , and S <sub>2</sub> can be stored, for example, in respective bytes in memory to form a scalar.	
Elements 808, 810, 812, and 814 indicate that each keyword an expert page is considered when determining the expert score for that page. An expert score is determined for each expert page.	
Element <b>816</b> ranks experts in accordance with their expert scores, which, in the described embodiment, are formed from scores S <sub>0</sub> , S <sub>1</sub> , and S <sub>2</sub> ."	
Krishna Bharat and George A. Mihaila,  Hilltop: A Search Engine based on  Expert Documents: "We define an expert page as a page that is about a certain topic and has links to many non- affiliated pages on that topic We	
felt than an expert page needs to be objective and diverse: that is, its recommendations should be unbiased and point to numerous <i>non-affiliated</i> pages on the subject. Therefore, in order	

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		to find the experts, we needed to detect	
		when two sites belong to the same or	
		related organizations The key	
		difference consists in the fact that we	
		are only considering "expert" sources -	
		pages that have been created with the	
		specific purpose of directing people	
		towards resources. In response to a	
		query, we first compute a list of the	
		most relevant experts on the query	
		topic. Then, we identify relevant links	
		within the selected set of experts, and	
		follow them to identify target web	
		pages. The targets are then ranked	
		according to the number and relevance	
		of non-affiliated experts that point to	
		them. Thus, the score of a target page	
		reflects the collective opinion of the	
		best independent experts on the query	
		topic"	
		'604 Patent Prosecution History at	
		VALTRUS-GOOGLE-NDTX-	
		00000885-00000890: "Appellants'	
		invention relates to a computer search	
		engine for searching a large number of	
		hypertext documents (Specification 1:5-	
		6). In response to an input query, the	
		search engine ranks matching expert	
		pages (pages about a topic with links to	
		several non-affiliated pages)"	
		'604 Patent Prosecution History at	
		VALTRUS-GOOGLE-NDTX-	

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00000910-00000937: "Chakrabarti never teaches forming a set of expert	
documents from all hypertext	
documents without reference to a search	
query. Chakrabarti does not teach	
ranking expert documents, but instead	
ranking a topic based subset of	
documents."	
'604 Patent Prosecution History at	
VALTRUS-GOOGLE-NDTX-	
00000942-00000963: "In fact,	
Chakrabarti does not teach forming a set	
of experts at all. Chakrabarti only	
teaches ranking of subsets of documents	
that are produced in topic based	
searches, i.e., ranking documents that	
relate to a particular topic."	
'604 Patent Prosecution History at	
VALTRUS-GOOGLE-NDTX-	
00001013-00001030: "The first phase	
may also include indexing of the expert	
list by topics to create an expert reverse	
index This step also occurs after the	
experts have been identified, but before	
a topic based query is received."	
VALTRUS-GOOGLE-NDTX-	
00000967-00000971.	
VALTRUS-GOOGLE-NDTX-	
00000992-00000996.	

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11 G D
U.S. Patent 6,862,710, cited on face of
'604 Patent, at 4:53-5:9: "While many
of the above techniques improve search
results based on previous user's
preferences, none attempts to interpret
word meaning or overcome the
fundamental problems of synonymy,
polysemy and search by concept. These
are addressed by expert systems
consisting of electronic thesauri and
lexical knowledge bases. The design of
a lexical knowledge base in existing
systems requires the involvement of a
large teams of experts. It entails manual
concept classification, choice of
categories, and careful organization of
categories into hierarchies (Bateman et
al, 1990, No. 3 in Appendix A; Bouad
et al, 1995, No. 7 in Appendix A;
Guarino, 1997, No. 14 in Appendix A;
Lenat and Guha, 1990, No. 20 in
Appendix A; Mahesh, 1996, No. 23 in
Appendix A; Miller, 1990, No. 25 in
Appendix A; Mahesh et al, 1999, No.
24 in Appendix A; Vogel, 1997 and
1998, Nos. 31 and 32 in Appendix A).
In addition, lexical knowledge bases
require careful tuning and customization
to different domains. Because they try
to fit a preconceived logical structure to
a collection of documents, lexical
knowledge bases typically fail to deal
effectively with heterogeneous
collections such as the Web. By

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contrast, the approach known as Latent
Semantic Indexing (LSI) uses a data
driven solution to the problem of lexical
categorization in order to deduce and
extract common themes from the data at
hand."
U.S. Patent 6,728,752, cited on face of
'604 Patent, at 3:26-36: "Knowledge
Pump, a Xerox system, provides
community-based recommendations by
initially allowing users to identify their
interests and "experts" in the areas of
those interests. Knowledge Pump is
then able to "push" relevant information
to the users based on those preferences;
this is accomplished by monitoring
network traffic to create profiles of
users, including their interests and
"communities of practice," thereby
refining the community specifications.
However, Knowledge Pump does not
presently perform any enhanced search
and retrieval actions like the search-
engine-based systems described above."
U.S. Patent 6,728,752, cited on face of
'604 Patent, at 35:14-22: "The most
popular pages in the nearest cluster can
then be identified (step 2524) and
recommended to the new user
(step 2526). In an alternative
embodiment of the invention, the
names, e-mail addresses, or other
names, v man addresses, or other

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				identifying data for the users in the nearest cluster (or at least one user in that nearest cluster, identified via the aggregate cosine similarity metric described above) can be provided to the new user, thereby allowing the new user to identify "experts" in a desired area."	
'604	1, 13, 14, 15, 16	target document(s) [cl. 1: pointed to by the ranked expert documents]	Plain and ordinary meaning to a person of ordinary skill in the art in light of the specification; the claims explain that target documents are documents "pointed to by" expert documents.	'604 Patent at 7:44-50: "In element 902 of FIG. 9 we consider the top N experts in the ranking from the previous step (for example, N=200) and consider the pages they point to. These pages are called targets."  FIG. 9.  '604 Patent at 3:3-9: "Next, target ranking looks at the out-going links from identified expert pages. By combining relevant out-going links from many experts on the query topic, it is possible to find the pages that are most highly regarded by the community of pages related to the query topic. This is the basis of the high relevance that the described embodiment of the invention delivers."  '604 Patent at 3:16-18: " ranking target documents pointed to by the ranked expert documents; and returning a results list based on the ranked target documents."	

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'604 Patent at 3:26-29: " a software
portion configured to rank target
documents pointed to by the ranked
expert documents; and a software
portion configured to return a results list
based on the ranked target documents."
'604 Patent at 7:30-43: "Given the top
ranked matching expert pages and
associated match information
determined by the method of FIG. 8, we
select a subset of the hyperlinks within
the expert pages. (Associated match
information is preferably information
about the key phrases within the expert
pages that match query terms.)
Specifically, we select links that we
know to have all the query terms
associated with them. This implies that
the link matches the query. With further
connectivity analysis on the selected
links we identity a subset of their targets
as the top-ranked pages on the query
topic. The targets we identify are those
that are linked to by at least two non-
affiliated expert pages on the topic. The
targets are ranked by a ranking score
which is computed by combining the
scores of the experts pointing to the
target."
targot.
'604 Patent at 8:13-29: "The approach
described above generates a list of
target pages which are likely to be very
target pages which are likely to be very

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	authoritative pages on the topic of the	
	query. This is by virtue of the fact that	
	they are highly valued by pages on the	
	WWW which address the topic of the	
	query. In computing the value of a	
	target page from the hyperlinks pointing	
	to it, we only consider links originating	
	from pages that seem to be experts.	
	Specifically we require them to point to	
	many non-affiliated sites. This is an	
	indication that these pages were created	
	for the purpose of directing users to	
	resources, and hence we regard them as	
	experts. Additionally, to boost	
	relevance, we require a match between	
	the query and the text on the expert	
	page which qualifies the hyperlink	
	being considered. This insures that	
	hyperlinks being considered are on the	
	query topic. The result of the steps	
	described above is to generate a listing	
	of pages that are highly relevant to the	
	user's query and of high quality, which	
	is the goal of our invention."	
	is the goar of our invention.	
	'604 Patent Prosecution History at	
	VALTRUS-GOOGLE-NDTX-	
	00001013-00001030: "The second	
	phase then includes ranking target	
	documents identified by the small set of	
	expert documents identified above	
	The target document set is dramatically	
	smaller than the set of all documents on	
	the web which may include the query	

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topic. But the target documents are qualified by having been identified, pointed to, by the expert documents identified in the first phase of the process."
'604 Patent Prosecution History at VALTRUS-GOOGLE-NDTX- 00000885-00000890: " the search engine looks at the targets (out- going links) from the expert pages)"
'604 Patent Prosecution History at VALTRUS-GOOGLE-NDTX- 00000910-00000937: "Then, target documents pointed to by the ranked experts are ranked and results based on the ranking of the target documents are returned."
'604 Patent Prosecution History at VALTRUS-GOOGLE-NDTX- 00000942-00000954: "The second phase then includes ranking target documents identified, i.e., pointed to, by the small subset of expert documents identified above as relating to the topic."
'604 Patent Prosecution History at VALTRUS-GOOGLE-NDTX- 00000942-00000963: "The second phase then includes ranking target

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documents identified, i.e., pointed to, by
the small subset of expert documents identified above as relating to the topic.
But the target documents are
qualified by having been identified,
pointed to, by the expert documents
"
i l
'604 Patent Prosecution History at
VALTRUS-GOOGLE-NDTX-
00001102-00001114: "The present
invention then uses the ranked expert
pages which are relevant to the topic to
expand the list of target documents by
ranking the pages to which the relevant
experts point."
'604 Patent Prosecution History at
VALTRUS-GOOGLE-NDTX-
00000967-00000971.
'604 Patent Prosecution History at
VALTRUS-GOOGLE-NDTX-
00000986-00000996.
1604 Patant Programation History at
'604 Patent Prosecution History at VALTRUS-GOOGLE-NDTX-
00001033-00001050.
00001033 00001030.
'604 Patent Prosecution History at
VALTRUS-GOOGLE-NDTX-
00001139-00001148.

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'604	without reference to the search query	A query is a sequence of words input by the user that produces a ranked list of URLs that are returned as search results.	'604 Patent at 1:23-30: "One solution to the problem of finding information of the web is to let software programs perform the search. Various search engines have been developed that return a list of ranked documents in response to a search query. If the query is broad (i.e., it matches many documents) then the returned list is usually too long for the user to look at fully. Users typically look only at the top ranked results on the assumption that they are most relevant."  '604 Patent at 2:49-52: "The described embodiment of the present invention takes an input query, which is, for example, a sequence of words input by the user, and produces a ranked list of URLs that are returned as search results."  '604 Patent at 2:65-3:2: "For a given input query, a lookup is done on the expert reverse index to find and rank matching expert pages. This phase computes the best expert pages on the query topic, as well as associated match information. The pages are ranked according to the match information."	
			query topic, as well as associated match information. The pages are ranked	

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				formation of the set of expert documents occurs prior to a search query for a specific topic, but the ranking of the expert documents occurs after the search query 'Broad topic' [referred to by Chakrabarti] suggests something other than a specific search query."  '604 Patent Prosecution History at VALTRUS-GOOGLE-NDTX-0000942-0000963: "That is, Chakrabarti starts his process after receiving a query with search terms Therefore, all of the searching and ranking taught by Chakrabarti includes or is based on a specific topic, i.e., a search query."	
'604	1	ranking target documents pointed to by the ranked expert documents	Plain and ordinary meaning to a person of ordinary skill in the art in light of the specification.		
'604	1, 19	ranking the expert documents in accordance with the search query	Plain and ordinary meaning to a person of ordinary skill in the art in light of the specification.		

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'604	1, 2, 3, 4, 18	hypertext documents	Hypertext documents include pages and sites on the world wide web.	'604 Patent at 4:26-44: "FIG. 2(a) is a block diagram giving an overview of a preferred embodiment of the present invention. In the described embodiment, an initial set of hypertext pages 202 is obtained by, for example, a crawl of the world wide web. The hypertext documents (e.g., pages) are processed to yield a set of expert documents 204  FIG. 2(b) is a flow chart of a search method in accordance with a preferred embodiment of the present invention. In the following example, the hypertext documents are pages (or sites) in the world wide web. It should be understood that the present invention can also be applied to other types of hypertext linked documents, such as hypertext databases."  FIGS. 2(a) and 2(b).  '604 Patent Prosecution History at VALTRUS-GOOGLE-NDTX-00001056-00001069: "Hypertext documents are pages in [the World] Wide Web."	"Hypertext." Alan Freedman, Computer Desktop Encyclopedia 440 (9th ed. 2001). VALTRUS-GOOGLE-NDTX-00007401-00007415.  "Hypertext." Dictionary of Computer Science, Engineering, and Technology 232 (Phillip A. Laplante ed., 2nd ed. 2001). VALTRUS-GOOGLE-NDTX-00007416-00007434.  "Hypertext." Steven M. Kaplan, Wiley Electrical and Electronics Engineering Dictionary 354 (2004). VALTRUS-GOOGLE-NDTX-00007435-00007455.  "Hypertext." Rudolf F. Graf, Modern Dictionary of Electronics 357 (1999). VALTRUS-GOOGLE-NDTX-00007456-00007470.  "Hypertext." S.M.H. Collin, Dictionary of Computing 163 (5th ed. 2004). VALTRUS-GOOGLE-NDTX-00007471-00007482.  "Hypertext." Microsoft Computer Dictionary 261 (Alex Blanton and Sandra Haynes, eds., 5th ed. 2002). VALTRUS-GOOGLE-NDTX-
				1 0 1	Dictionary 261 (Alex Blanton and Sandra Haynes, eds., 5th ed. 2002).

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		VALTRUS-GOOGLE-NDTX- 00007499-00007516.
		"Hypertext." Dick Pountain, The New Penguin Dictionary of Computing 234 (2001). VALTRUS-GOOGLE-NDTX- 00007517-00007536.
		"Hypertext." Collins English Dictionary 802 (7th ed. 2005). VALTRUS-GOOGLE-NDTX-00007568-00007587.
		"Hypertext." The New Oxford American Dictionary 833 (Erin McKean ed., 2nd ed. 2005). VALTRUS-GOOGLE-NDTX-00007588-00007606.
		Tim Berners-Lee and Robert Cailliau, WorldWideWeb: Proposal for a HyperText Project (1990). VALTRUS- GOOGLE-NDTX-00007394-7400.
		John B. Smith et al., A Hypertext Writing Environment and its Cognitive Basis 195, <i>in</i> Hypertext '87 Papers (1987). VALTRUS-GOOGLE-NDTX- 00007140-00007159.
		I. Ritchie, <i>HYPERTEXT – Moving Towards Large Volumes</i> , 32 THE COMPUTER J. 516, 516 (1989). VALTRUS-GOOGLE-NDTX-00007132-00007139.

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'604	4	hypertext database	A database that comprises hypertext documents.		
'604	13, 17	edges	A connection from an expert page to a target page.	'604 Patent at 7:54-57: "1. As shown in element 906, for every expert E that points to target T we draw a directed edge (E,T). We compute an "edge score" for the edge (E,T) represented by EdgeScore(E,T) "  '604 Patent at 7:63-67: "2. As shown in step 908, we next check for affiliations between expert pages that point to the same target. If two affiliated experts have edges to the same target T, we then discard one of the two edges. Specifically, we discard the edge which has the lower EdgeScore of the two."  '604 Patent Prosecution History at VALTRUS-GOOGLE-NDTX-00000998-00001008: " determining a plurality of edge scores for each target document, where an edge score is determined for edges between the expert document and the target document"  '604 Patent Prosecution History at VALTRUS-GOOGLE-NDTX-00001121-00001135.	"Edge." Dictionary of Computer Science, Engineering, and Technology 154 (Phillip A. Laplante ed., 2nd ed. 2001). VALTRUS-GOOGLE-NDTX-00007416-00007434.  Robin J. Wilson, Introduction to Graph Theory 8 (5th ed. 2010). VALTRUS-GOOGLE-NDTX-00007370-00007393.  Po-Shen Loh, Graph theory 1 (2012). VALTRUS-GOOGLE-NDTX-00007286-00007292.  Dawei Yin et al., Ranking Relevance in Yahoo Search (2016). VALTRUS-GOOGLE-NDTX-00007160-00007169.  Maxie Inigo et al., College Mathematics for Everyday Life 197 (2021). VALTRUS-GOOGLE-NDTX-00007203-00007236.

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'604	16	ES(E,T)	an edge score for the edge from an expert page E to a target page T	'604 Patent at 7:52-62: "The ranking score for a target T is computed in three steps:  1. As shown in element 906, for every expert E that points to target T we draw a directed edge (E,T). We compute an 'edge score' for the edge (E,T) represented by EdgeScore(E,T), which is computed thus:  Let occ(k) be the number of distinct key phrases of expert E, within which a query keyword k occurs. If occ(k) is 0 for any query keyword then the EdgeScore(E,T)=0.  Otherwise, EdgeScore(E,T)=ExpertScore(E)*Σ <sub>(query keywords k)</sub> occ(k)"	
'604	17	edges to the same target	Plain and ordinary meaning to a person of ordinary skill in the art in light of the specification.		
'604	17	edge having a lower edge score	Plain and ordinary meaning to a person of ordinary skill in the art in light of the specification.		

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'604	18	rightmost non- generic suffix	Plain and ordinary meaning to a person of ordinary skill in the art in light of the specification.	
'604	19	level score	Plain and ordinary meaning to a person of ordinary skill in the art in light of the specification.	

## IV. U.S. Patent No. 6,816,809

Patent	Claim(s)	Term	Valtrus's Proposed Construction	Examples of Intrinsic Evidence	Examples of Extrinsic Evidence
'809	1, 13, 18	A hardware based utilization metering device / A hardware based method for measuring processor utilization in a computer system comprising a	A hardware-based device or method that measures when a processor is not executing any process.	'809 Patent at 1:49-61: "What is disclosed is a hardware based utilization metering device for use in a computer system having one or more central processor units (CPUs), the device comprising a state indicator coupled to a CPU, wherein the state indicator receives an indication when the CPU is in a first state; a counter coupled to the state indicator and coupled to a system clock, wherein the counter receives a measure of system time from the system	HP Invention Disclosure Form. VALTRUS-GOOGLE-NDTX- 00001875-00001878.  "Hardware." Alan Freedman, Computer Desktop Encyclopedia 416 (9th ed. 2001). VALTRUS-GOOGLE-NDTX- 00007401-00007415.  "Hardware." Dictionary of Computer Science, Engineering, and Technology 219 (Phillip A. Laplante ed., 2nd ed.

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			1
plural	•	clock and receives data related to the	2001). VALTRUS-GOOGLE-NDTX-
I - I -	ssors / An	indication when the CPU is in the first	00007416-00007434.
	atus that	state, and generates a counter value	
provid	des	indicative of time the CPU is in the first	"Hardware." Steven M. Kaplan, Wiley
hardw	vare	state; and a data usage provider coupled	Electrical and Electronics Engineering
based	'	to the counter, wherein the data usage	Dictionary 332-333 (2004). VALTRUS-
utiliza	ation	provider is capable of providing the	GOOGLE-NDTX-00007435-00007455.
meter	ing of	counter value."	
centra			"Hardware." Rudolf F. Graf, Modern
proces	ssor units	'809 Patent at 1:62-2:4: "Also disclosed	Dictionary of Electronics 338 (1999).
(CPUs		is a hardware based method for	VALTRUS-GOOGLE-NDTX-
compt		measuring processor utilization in a	00007456-00007470.
system		computer system comprising a plurality	
		of processors, the method comprising	"Hardware." S.M.H. Collin, Dictionary
		determining when any of the plurality of	of Computing 156 (5th ed. 2004).
		processors is busy (i.e., not idle);	VALTRUS-GOOGLE-NDTX-
		providing a busy indication to a counter	00007471-00007482.
		associated with the busy processor;	
		receiving at the counter a measure of	"Hardware." Microsoft Computer
		computer system time; incrementing a	Dictionary 246 (Alex Blanton and
		counter value in the counter based on	Sandra Haynes, eds., 5th ed. 2002).
		the received busy indication and an	VALTRUS-GOOGLE-NDTX-
		amount of computer system time that	00007483-00007498.
		the processor is determined to be busy;	
		and maintaining the counter value."	"Hardware." Harry Newton, Newton's
		und mammaming the estimate value.	Telecom Dictionary 453 (24th ed. 2008).
		'809 Patent at 2:5-16: "Finally, what is	VALTRUS-GOOGLE-NDTX-
		disclosed is an apparatus that provides	00007499-00007516.
		hardware based utilization metering of	
		CPUs in a computer system, comprising	"Hardware." Dick Pountain, The New
		a plurality of CPUs. Associated with the	Penguin Dictionary of Computing 220
		CPUs is means for measuring computer	(2001). VALTRUS-GOOGLE-NDTX-
		system time. In addition, for each of the	00007517-00007536.
		plurality of CPUs, the apparatus	
		pruranty of Cr Os, the apparatus	

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		includes means for determining when	"Hardware." Collins English Dictionary
		the processor is busy; means for	745 (7th ed. 2005). VALTRUS-
		providing a busy indication when the	GOOGLE-NDTX-00007568-00007587.
		processor is busy; means for receiving	GOOGLE 11D1X 00007300 00007307.
		the busy indication and a measure of	"Hardware." The New Oxford American
		computer system time; means for	Dictionary 770 (Erin McKean ed., 2nd
		combining the busy indication and the	ed. 2005). VALTRUS-GOOGLE-
			NDTX-00007588-00007606.
		measure of computer system time to	ND1A-0000/388-0000/000.
		generate a counter value indicative of	
		processor utilization; and means for	
		maintaining the counter value."	
		'809 Patent at 3:50-67: "FIG. 1A is a	
		basic block diagram of a computer	
		system 100 that implements hardware	
		based utilization metering."	
		based utilization metering.	
		FIG. 1A.	
		110. 171.	
		'809 Patent at 4:66-5:17: "FIG. 1C	
		shows selected components of the	
		hardware based utilization metering	
		device in more detail."	
		<del>-</del>	
		FIG. 1C.	
		'809 Patent at 7:3-31: "FIG. 4 is a flow	
		chart showing a hardware based CPU	
		utilization operation 600 using the	
		system 100" of FIG. 3. The operation	
		600 starts in block 610. In block 620,	
		the cell 200 is replaced and the	
		hardware components on the cell 200	
		are powered up. In block 630, the usage	
		data provider 500 receives an indication	

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		of the power up of the cell 200	
		components, and the usage data	
		provider 500 sends the stored counter	
		values 501-504 to the counters 240-243,	
		respectively. In block 640, the received	
		counter values are used to reinitialize	
		the counters 240-243. However, the	
		CPUs 210-213 are idle (operating	
		systems are not processing), and in	
		block 650, the idle indicators 220-223	
		provide an idle indication to their	
		respective counters 240-243, thereby	
		preventing incrementing of the counter	
		values. In block 660, the CPU 210	
		begins running an operating system, and	
		the idle indicator 220 sends an	
		indication to the counter 240 that the	
		CPU 210 is not idle. In block 670, the	
		counter 240 receives the non-idle	
		indication, and the system time from the	
		system clock 230, and begins	
		incrementing the counter value for the	
		CPU 210. In block 680, the operating	
		system running on the CPU 210 stops	
		processing, halts the CPU 210, and	
		asserts a halt indication. In block 690,	
		the counter 240 receives an idle	
		indication and stops incrementing the	
		counter value. The operation 600 may	
		continue with incrementing counter	
		values for other CPUs in the system	
		100" and may include routines to update	
		100 and may merade rounnes to aparte	

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		the non-volatile counter values in the	
		usage data provider 500."	
		FIG. 4.	
		'809 Patent Prosecution History at	
		VALTRUS-GOOGLE-NDTX-	
		00000795-00000802: "In contrast to	
		Bishop, claim 1 as amended recites an	
		idle indicator coupled to a processor.	
		The idle indicator is a hardware device	
		coupled to a pin of the processor and the	
		hardware device reads a signal asserted	
		on the pin when the processor is idle,	
		i.e., not executing any process (See	
		claim 3) In contrast to Bishop and	
		Boudreau, individually and in	
		combination, claim 13 recites a	
		hardware based method · for measuring	
		process for utilization in a computer	
		system comprising a plurality of	
		processors."	
		•	
		U.S. Patent 4,503,495, cited on face of	
		'809 Patent, at 1:38-66: "The purpose of	
		a hardware analyzer is to monitor and	
		analyze the various aspects of the	
		operation of the data processing system	
		hardware. For example, a hardware	
		analyzer may monitor various timings	
		within the CPU or transfer of	
		information between units connected to	
		a bus. For example, the hardware	
		analyzer may monitor the time that it	

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takes for the memory to respond to a CPU request for reading a word from memory with this time being the average time reflecting those cases in which the memory is immediately available for reading and those cases which the reading is deferred because the memory is busy performing a data transfer to a peripheral device.  Hardware analyzers are also used to determine utilization factors, for example, the percentage of time that the CPU is being utilized, as compared to the percentage of time the CPU is idle, waiting for either data from memory or the completion of an input/output operation. The hardware analyzer may also be used to determine utilization facts and response times for various components within the system, such as peripheral devices, and memory subsystems. The data provided by the hardware analyzer may be used in various ways. For example, the diagnosis of system design problems or	
peripheral devices, and memory subsystems. The data provided by the hardware analyzer may be used in various ways. For example, the diagnosis of system design problems or the optimization of system configurations as a data processing system is either contracted or expanded	
by the addition or removal of equipment in response to optimizing system for an existing data processing workload or to accommodate a changing data processing workload."	

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European Patent EP0320329A2, cited
on face of '809 Patent, at 3:26-40: "The
present invention provides these and
other advantageous features by
including diagnostic instructions in the
processor "idle loop." A processor does
not cease performing instructions when
it is not busy, but instead jumps or
"traps" to a so-called "idle loop"
whenever it is idle. The idle loop
generally consists of instructions which
perform no useful function (e.g., "no
operation," delay and/or jump
instructions). When the processor must
perform a function, it receives an
"interrupt" at which time it ceases
performing instructions in the idle loop
and begins performing other, useful
program control instructions. The next
time the processor has no further tasks
to perform, it once again returns to its
idle loop."
U.S. Patent 5,654,905, cited on face of
'809 Patent, at 1:44-50: "In accordance
with another aspect of the present
invention, the time logging program
intercepts idle interrupts, which are
issued by the computer's operating
system whenever it is idle. The time
logging program updates the log file
only during these idle periods, thus
further ensuring that the program's

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				operation does not interfere with the computer's other tasks."  U.S. Patent 6,049,798, cited on face of '809 Patent, at 2:27-39: "CPU idle time in a data processing system is the amount of time the computer's Central Processing Unit (CPU) is not being utilized by any task. Previous methods for measuring CPU idle time used a thread to perform a series of tasks. The number of tasks the thread performed was then compared with a hypothetical number of tasks that could have been performed, if the thread was allowed all available CPU time. This procedure is lacking in that the hypothetical number of tasks is different on different data processing systems. A system specific calibration algorithm is required to determine the minimum time the task(s) required to execute. This calibration method can be unreliable and presents many practical problems when moving between systems."	
'809	1, 3, 4, 10	idle indicator	Plain and ordinary meaning to a person of ordinary skill in the art in light of the specification.		HP Invention Disclosure Form. VALTRUS-GOOGLE-NDTX- 00001875-00001878.

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'809	1, 5, 6, 7, 8, 10, 11, 13, 14, 15, 16, 17, 18, 19	counter	Plain and ordinary meaning to a person of ordinary skill in the art in light of the specification.		HP Invention Disclosure Form. VALTRUS-GOOGLE-NDTX- 00001875-00001878.
'809	1	system clock	Plain and ordinary meaning to a person of ordinary skill in the art in light of the specification.		HP Invention Disclosure Form. VALTRUS-GOOGLE-NDTX- 00001875-00001878.
'809	1, 7, 9	data usage provider	Plain and ordinary meaning to a person of ordinary skill in the art in light of the specification.		HP Invention Disclosure Form. VALTRUS-GOOGLE-NDTX- 00001875-00001878.
'809	2, 5, 13, 18	busy / busy state / busy indication	Plain and ordinary meaning to a person of ordinary skill in the art in light of the specification.		HP Invention Disclosure Form. VALTRUS-GOOGLE-NDTX- 00001875-00001878.
'809	12, 18	Cells	A set of components that can be removed from the computer system as a group.	'809 Patent at 5:42-54: "FIG. 3 is a block diagram of a computer system 100" having multiple CPUs arranged in partitions or cells. One or more of the CPUs may run multiple instances of operating systems, or, certain CPUs	HP Invention Disclosure Form. VALTRUS-GOOGLE-NDTX- 00001875-00001878.

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# Case 3:22-cv-00066-N Document 83-1 Filed 07/25/22 Page 101 of 147 PageID 1397 EXHIBIT A – Valtrus's Proposed Constructions and Intrinsic and Extrinsic Evidence

may run specific instances of operating
systems while other CPUs run other
instances of operating systems. As
shown in FIG. 3, the computer system
100" includes hardware cells 1 though
K, denoted as cells 200, 300, and 400,
respectively. This arrangement is meant
to indicate that the computer system
100" may include K cells, where K is an
integer, including 1 (one), indicating the
computer system 100" comprises one cell. Each of the cells is a set of
components that can be removed from
the computer system 100" as a group."
FIGS. 3 and 4.
'809 Patent at 5:54-65: "As can be seen
in FIG. 3, the arrangement of
components within each cell is identical
in terms of type and number of
components. However, the cells need
not include the same type or same
number of components. In addition to
division of components among the
hardware cells 1 through K, the
components may be partitioned, or
logically sorted. The partitions may
comprise any number of CPUs or any
number of cells. For example, cell 1
(200) may comprise four separate
partitions, one for each of the CPUs
installed in cell 1. Alternatively, cell 1
(200) and cell 2 (300) may comprise a

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first partition and the partition and (1)
first partition and the remaining cell(s)
may comprise additional partition(s)."
'809 Patent at 5:66-6:9: "Taking the cell
200 as an example, there are included
four CPUs 210-213. However, the cell
200 may include more or fewer than
four CPUs. Associated with each of the
CPUs 210-213 is a corresponding idle
indicator 220-223. Each of the idle
indicators receives an indication when
its associated CPU is idle, and provides
an output to a corresponding counter
240-243 that provides a current counter
value (CPU utilization metric). Each of
the counters 240-243 receives an input
from a system clock 230. The system
clock 230 functions in the same manner
as the system clock 130 shown in FIG.
1A."
FIG. 1A.
110.171.
'809 Patent at 6:17-19: "The
arrangement of the cells 200, 300, and
400 allows one or more of the cells to
be removed from the computer system
100" while maintaining the computer
system in operation."
'809 Patent at 6:30-39: "Should one of
the cells 200, 300 or 400 be replaced
with a new cell, or with the original cell,
but with one or more new CPUs, the

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counter values may be lost when the
cell is powered off. Thus, whenever a
cell is powered on, the usage data
provider 500 will reinitialize the
corresponding counters in the cell. For
example, if the cell 200 is removed, and
the CPU 210 is replaced with a new
CPU 210', then when the original cell
200 is reinstalled and powered on, the
usage data provider 500 will provide the
stored counter value 501 to the counter
240."
'809 Patent at 7:4-12: "FIG. 4 is a flow
chart showing a hardware based CPU
utilization operation 600 using the
system 100" of FIG. 3. The operation
600 starts in block 610. In block 620,
the cell 200 is replaced and the
hardware components on the cell 200
are powered up. In block 630, the usage
data provider 500 receives an indication
of the power up of the cell 200
components, and the usage data
provider 500 sends the stored counter
values 501-504 to the counters 240-243,
/
respectively."
FIG. 4.
ΓΙ <b>Ο.</b> 4.
1900 Potent Proggaution History of
'809 Patent Prosecution History at
VALTRUS-GOOGLE-NDTX-
00000795-00000802: "Furthermore,
Boudreau discloses only one CPU, not a

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		plurality of processors arranged into one	
		or more cells as asserted in the Office	
		Action at page 4. In particular, the items	
		301, 322, 320 of Figure 1 noted by the	
		Examiner are actually parts of the	
		software analyzer and not one of a	
		plurality of processors arranged in one	
		or more cells. In fact, nothing in	
		Boudreau discloses use of more than	
		one processor or having more than one	
		processor arranged in multiple cells."	

## V. U.S. Patent No. 7,523,454

Patent	Claim(s)	Term	Valtrus's Proposed Construction	Intrinsic Evidence	Extrinsic Evidence
'454	1, 5, 17	partitioned server	a single server or aggregation of server resources subdivided to perform as multiple servers.	'454 Patent at 1:34-36: "A partitioned server is a single server or aggregation of server resources subdivided to perform as multiple servers."  '454 Patent at 1:36-38: "Thus, a partitioned server continues to service multiple locations, multiple departments, and/or multiple transactions."  '454 Patent at 2:4-14: "The server may include a logical partition. That is, the server may be a consolidation of	HP Invention Disclosure Form. VALTRUS-GOOGLE-NDTX- 00001871-00001874.

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# Case 3:22-cv-00066-N Document 83-1 Filed 07/25/22 Page 105 of 147 PageID 1401 EXHIBIT A – Valtrus's Proposed Constructions and Intrinsic and Extrinsic Evidence

multiple, independent servers, each
having its own resources, operating
, 1
system, etc., which make up the
partitions thereon. Alternatively, the
server may include resource partitions.
That is, the server may be a single
server having separate resources
allocated to each partition. Furthermore,
the partitions may be statically or
dynamically configured. In addition, the
partitioned server may be included in a
pool of servers including other
partitioned and/or non-partitioned
servers."
'454 Patent at 2:43-67: "The method of
the invention may comprise identifying
a plurality of partitions on the server,
determining a configuration of each
partition, optionally determining at least
one characteristic of the transaction, and
providing the configuration to a load
balancer, wherein the load balancer
routes the transaction to one of the
partitions based at least in part on the
configuration thereof, and optionally
also based on the characteristic(s) of the
transaction As such, the apparatus
and method of the invention recognizes
and routes the transaction to the
partition on the server, based at least in
part on the configuration of the
partition. The configuration also
preferably accounts for the relative

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		weight of each partition and whether the	
		server is statically and/or dynamically	
		partitioned."	
		•	
		'454 Patent at 4:13-40: "It is further	
		understood that the server 140 may be	
		any suitable server and may comprise	
		any number of partitions 160-162.	
		Preferably, the server 140 comprises	
		logical partitions 160-162. That is, the	
		server 140 comprising logical partitions	
		160-162 represent independent	
		resources in a single, multiprocessing	
		system having independent resources	
		(e.g., CPU, memory, etc.). For example,	
		a server with logical partitions 160-162	
		may include three processors, each	
		processor identified as a separate	
		partition 160 and running a separate	
		operating system thereon. Logical	
		partitioning is available, as an example,	
		for IBM AS/400e series systems with	
		multiple processors. Alternately, the	
		server 140 comprising resource	
		partitions 160-162 may be a single	
		server having separately allocated	
		resources. For example, a server 140	
		with resource partitions 160-162 may	
		have a single processor that is allocated	
		20% to a first partition, 30% to a second	
		partition, and 50% to a third partition.	
		Although there is only a single	
		processor with a single operating	
		system, each of the three partitions	

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appears on the network (e.g., via IP	
address) as three distinct servers.	
Resource partitioning is available, as an	
example, using the Process Resource	
Manager available from Hewlett	
Packard Company. Also as an example,	
see co-owned U.S. patent application	
Ser. No. 09/493,753 filed Jan. 28, 2000	
and titled DYNAMIC	
MANAGEMENT OF COMPUTER	
WORKLOADS THROUGH SERVICE	
LEVEL OPTIMIZATION, hereby	
incorporated by reference for all that it	
discloses. However, it is understood that	
the server 140 may be partitioned in any	
suitable manner."	
'454 Patent at 4:41-64: "Furthermore,	
the partitions 160-162 may be statically	
configured. That is, statically	
configured partitions 160-162 comprise	
resources or resource allocations that do	
not change during the operation thereof.	
For example, statically configured	
partitions 160-162 may be allocated two	
CPUs, or may be allocated 50% of a	
single CPU at startup or initialization	
until the server 140 is shut down, taken	
offline, or otherwise reinitialized.	
Alternatively, the partitions 160-162	
may be dynamically configured. That is,	
dynamically configured partitions 160-	
162 comprises resources and/or	
resource allocations that may change	

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during the operation thereof. For	$\neg$
example, dynamically configured	
partitions 160-162 may also be	
allocated two CPUs, or may be	
allocated 50% of a single CPU at	
startup or initialization. However, the	
configuration of the partitions 160-162	
may change during the operation of the	
server 140. For example, the partitions	
160-162 may be allocated an additional	
CPU, or 75% of a single CPU. In yet	
another embodiment, one or more	
partitions 160-162 may be statically	
configured, while other partitions 160-	
162 are dynamically configured. In	
addition, the partitions 160-162 may be	
dedicated (e.g., to a corporate	
department, for a particular purpose,	
etc.). In such an embodiment, the	
dedication may be included as part of	
the configuration of the partitions 160-	
162."	
102.	
'454 Patent at 5:49-58: "Alternatively,	
the transaction 110 is shown in FIG. 2	
routed to a partition F 160 of the server	
140 that is selected from a server pool	
200 on the network 120. In this	
embodiment, the transaction 110 may	
be routed 180 to a partition 160 on a	
single server 140, and/or routed 210 to	
another server 220 in the server pool	
200. The other server 220 may be a	
·	
partitioned server, in which the	

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transaction 110 is routed to a partition thereon, as described above. Alternatively, the other server 220 may be a non-partitioned server, in which the transaction 110 is routed to the server itself."
FIG. 2.
'454 Patent at 8:13-20: "FIG. 4 illustrates one embodiment of a method for routing the transaction to the server. In step 400, the partitions 160-162 are identified on the server 140. For example, the agent 170 obtains the partition identifications (e.g., 310 in FIG. 3) and the network location (e.g., network address 320 in FIG. 3) for each partition 160-162 on the server 140. The agent 170 may identify the partitions 160-162 through interaction with the partition manager 150, or otherwise, as discussed above."
FIG. 4.
'454 Patent Prosecution History at VALTRUS-GOOGLE-NDTX- 00001569-00001572: "The load balancer of Applicants' claim 1 could therefore be used to balance a load between the partitions of a single partitioned server, between the partitions of different servers, or

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between partitioned and non-partitioned servers."  '454 Patent Prosecution History at VALTRUS-GOOGLE-NDTX-00001545-00001552: "Applicants	
believe it is inappropriate to read their 'partitions on a partitioned server' on Varma's partitions of a distributed server. Applicants define what they mean by a 'partitioned server' on page 2, lines 3-5, of their specification, which states, 'A partitioned server is a single	
server or aggregation of server resources subdivided to perform as multiple servers.' Thus, in the context of Applicants' specification and claims, a partitioned server does not comprise multiple servers, but rather a single server that 'perform[s] as multiple servers.'"	
'454 Patent Prosecution History at VALTRUS-GOOGLE-NDTX-00001426-00001441: "A significant distinction between the Aman reference and Applicants' invention is that Aman teaches a method of assigning work to one or more servers, whereas the Applicants' invention discloses a method for routing a transaction to a partitioned server."	

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'454 Patent Prosecution History at
l l
VALTRUS-GOOGLE-NDTX-
00001462-00001482.
'454 Patent Prosecution History at
VALTRUS-GOOGLE-NDTX-
00001521-00001541: "However, it is
noted that all of Appellants' claims
recite 'routing a transaction to a
partitioned server' rather than routing a
transaction within a partitioned server.
It is therefore believed that the scope
and context of Appellants' claims is
clear, and that Appellants' arguments
regarding the differences between their
claims and Zalewski's brief mention of
'load balancing of the 1/0 workload' is
relevant. Obviously, there must be some
way to route a transaction received by a
partitioned server to one of the
partitions within the partitioned server.
However, what the art was lacking was
a way to route a transaction to a
partition of a partitioned server. This is
what is set forth, in different ways, in
Appellants' claims."
U.S. Patent 6,542,926, cited on face of
'454 Patent, at Abstract: "Multiple
instances of operating systems execute
cooperatively in a single multiprocessor
computer wherein all processors and
•
resources are electrically connected
together. The single physical machine

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with multiple physical processors and	
resources is subdivided by software into	
multiple partitions, each running a	
distinct copy, or instance, of an	
operating system. Each of the partitions	
has access to its own physical resources	
plus resources designated as shared. The	
partitioning is performed by assigning	
all resources with a configuration tree.	
None, some, or all, resources may be	
designated as shared among multiple	
partitions. Each individual operating	
instance will generally be assigned the	
resources it needs to execute	
independently and these resources will	
be designated as "private." Other	
resources, particularly memory, can be	
assigned to more than one instance and	
shared. Shared memory is cache	
coherent so that instances may be	
tightly coupled, and may share	
resources that are normally allocated to	
a single instance. This allows previously	
distributed user or operating system	
applications which usually must pass	
messages via an external interconnect to	
operate cooperatively in the shared	
memory without the need for either an	
external interconnect or message	
passing. Examples of application that	
could take advantage of this capability	
include distributed lock managers and	
cluster interconnects. Newly-added	
resources, such as CPUs and memory,	

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		can be dynamically assigned to different	
		partitions and used by instances of	
		operating systems running within the	
		machine by modifying the	
		configuration."	
		U.S. Patent 6,542,926, cited on face of	
		'454 Patent, at 2:55-67: "The VM	
		architecture supports the concept of a	
		"logical partition" or LPAR. Each	
		LPAR contains some of the available	
		physical CPUs and resources which are	
		logically assigned to the partition. The	
		same resources can be assigned to more	
		than one partition. LPARs are set up by	
		an administrator statically, but can	
		respond to changes in load dynamically,	
		and without rebooting, in several ways.	
		For example, if two logical partitions,	
		each containing ten CPUs, are shared on	
		a physical system containing ten	
		physical CPUs, and, if the logical ten	
		CPU partitions have complementary	
		peak loads, each partition can take over	
		the entire physical ten CPU system as	
		the workload shifts without a re-boot or	
		operator intervention."	
		U.S. Patent 6,542,926, cited on face of	
		'454 Patent, at 3:48-62: "Hive cells are	
		not responsible for deciding how to	
		divide their resources between local and	
		remote requests. Each cell is responsible	
		only for maintaining its internal	
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resources and for optimizing	
performance within the resources it has	
been allocated. Global resource	
allocation is carried out by a user-level	
process called "wax." The Hive system	
attempts to prevent data corruption by	
using certain fault containment	
boundaries between the cells. In order	
to implement the tight sharing expected	
from a multiprocessor system, despite	
the fault containment boundaries	
between cells, resource sharing is	
implemented through the cooperation of	
the various cell kernels, but the policy is	
implemented outside the kernels in the	
wax process. Both memory and	
processors can be shared."	
processors can be shared.	
U.S. Patent 6,542,926, cited on face of	
'454 Patent, at 4:42-53: "In accordance	
with the principles of the present	
invention, multiple instances of	
operating systems execute cooperatively	
in a single multiprocessor computer	
wherein all processors and resources are	
electrically connected together. The	
single physical machine with multiple	
physical processors and resources is	
subdivided by software into multiple	
partitions, each with the ability to run a	
distinct copy, or instance, of an	
operating system. Each of the partitions	
has access to its own physical resources	
plus resources designated as shared. In	
plus resources designated as shared. In	

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accordance with one embodiment, the
· · · · · · · · · · · · · · · · · · ·
partitioning is performed by assigning
resources using a configuration data
structure such as a configuration tree."
U.S. Patent 6,542,926, cited on face of
'454 Patent, at 10:38-51: "The hardware
components are represented by a
hardware root node 304 which contains
children that represent a hierarchical
representation of all of the hardware
currently present in the computer
system. "Ownership" of a hardware
component is represented by a handle in
the associated hardware node which
points to the appropriate software node
(310, 312 or 314.) These handles are
illustrated in FIG. 4 which will be
discussed in more detail below.
Components that are owned by a
specific partition will have handles that
point to the node representing the
partition. Hardware which is shared by
multiple partitions (for example,
memory) will have handles that point to
the community to which sharing is
confined. Un-owned hardware will have
a handle of zero (representing the tree
root node 302)."
1001 1000 002).
U.S. Patent 6,542,926, cited on face of
'454 Patent, at 17:16-31: "A memory
controller node (such as
· ·
nodes 336 or 350) is used to express a

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physical hardware component, and its
owner is typically the partition which
will handle errors, and initialization.
Memory controllers cannot be assigned
to communities, as they require a
specific operating system instance for
initialization, testing and errors.
However, a memory description,
defined by a memory descriptor node,
may be split into "fragments" to allow
different partitions or communities to
own specific memory ranges within the
memory descriptor. Memory is unlike
other hardware resources in that it may
be shared concurrently, or broken into
"private" areas. Each memory
descriptor node contains a list of subset
ranges that allow the memory to be
divided among partitions, as well as
shared between partitions (owned by a
community)."
U.S. Patent 6,542,926, cited on face of
'454 Patent, at 18:36-49: "FIG. 4
illustrates the configuration tree shown
in FIG. 3 when it is viewed from a
perspective of ownership. The console
program for a partition relinquishes
ownership and control of the partition
resources to the operating system
instance running in that partition when
the primary CPU for that partition starts
execution. The concept of "ownership"
determines how the hardware resources

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and CPUs are assigned to software partitions and communities. The configuration tree has ownership pointers illustrated in FIG. 4 which determine the mapping of hardware	
devices to software such as partitions (exclusive access) and communities (shared access). An operating system instance uses the information in the configuration tree to determine to which hardware resources it has access and reconfiguration control."	
U.S. Patent 6,542,926, cited on face of '454 Patent, at 21:24-29: "4. An instance may need to be capable of supporting multiple arbitrary physical holes in its address space, if it is part of a system configuration in which memory is shared between partitions. In addition, an instance may need to deal with physical holes in its address space in order to support 'hot inswap' of memory."	
U.S. Patent 6,778,540, cited on face of '454 Patent, at 8:7-22: "As noted, the OSA adapter is shared across any number of host partitions, and any one of these partitions could function as a router to forward packets from the adapter's LAN to another LAN to which the partition is connected. The purpose of a "set routing" command is to define	

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	at the adapter a specific IP instance (i.e., partition) as a routing node. During operation, it is likely that inbound data frames will be received by the gateway (i.e., OSA adapter) destined to IP addresses that are not known to that system. These inbound frames may be routable by a TCP/IP instance known to the gateway to be the correct destination TCP/IP. In the IBM S/390 environment, there may be multiple system images, each with multiple TCP/IP instances. This presents the adapter with multiple issues, including, to which TCP/IP instance should the frames be routed, should the adapter route the frames to all known TCP/IP instances, and/or should the adapter discard the frames."  U.S. Patent 7,051,188, cited on face of '454 Patent, at Abstract: "Allocation of shareable resources of a computing environment are dynamically adjusted to balance the workload of that environment. Workload is managed across two or more partitions of a plurality of partitions of the computing environment. The managing includes dynamically adjusting allocation of a shareable resource of at least one partition of the two or more partitions in order to balance workload goals of the two or more partitions."	
	1	

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	U.S. Patent 7,051,188, cited on face of	
	'454 Patent, at 4:30-40: "Central	
	processors 106 are physical processor	
	resources that are allocated to the	
	logical partitions. In particular, each	
	logical partition 108 has one or more	
	logical processors (not separately shown	
	for clarity), each of which represents all	
	or a share of a physical	
	processor 106 allocated to the partition.	
	The logical processors of a particular	
	partition 108 may be either dedicated to	
	the partition (so that the underlying	
	processor resource 106 is reserved for	
	that partition) or shared with another	
	partition (so that the underlying	
	processor resource is potentially	
	available to another partition)."	
	II.C. D. 4 7.051.100 4. 1 5	
	U.S. Patent 7,051,188, cited on face of	
	'454 Patent, at 5:20-40: "Coupling	
	facility 122 (a.k.a., a structured external	
	storage (SES) processor) contains	
	storage accessible by the central	
	processor complexes and performs	
	operations requested by programs in the	
	CPCs. The coupling facility is used by	
	various aspects of the present invention	
	for the sharing of state information used	
	in making shared resource redistribution	
	decisions. (In one embodiment, each	
	central processor complex is coupled to	
	a plurality of coupling facilities.)	
	Aspects of the operation of a coupling	

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facility are described in detail in such
references as Elko et al., U.S. Pat. No.
5,317,739 entitled "Method And
Apparatus For Coupling Data
Processing Systems", issued May 31,
1994; Elko et al., U.S. Pat. No.
5,561,809, entitled "In A
Multiprocessing System Having A
Coupling Facility Communicating
Messages Between The Processors And
The Coupling Facility In Either A
Synchronous Operation Or An
Asynchronous Operation", issued Oct.
1, 1996; Elko et al., U.S. Pat. No.
5,706,432, entitled "Mechanism For
Receiving Messages At A Coupling
Facility", issued Jan. 6, 1998; and the
patents and applications referred to
therein, all of which are hereby
incorporated herein by reference in their
entirety."
U.S. Patent 7,051,188, cited on face of
'454 Patent, at 6:46-55: "As examples,
the resources to be shared include CPU
resources, I/O resources, and memory,
as well as co-processors or any other
shareable resources the machine might
provide. A particular logical partition
group may or may not have access to all
of the resources of a particular machine.
In fact, multiple logical partition groups
could be defined to operate concurrently
on a single machine. In order to manage

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each logical partition group effectively,	
the resources that make up a particular	
logical partition group are effectively	
scoped to that group."	
U.S. Patent 6,336,134, cited on face of	
'454 Patent, at Abstract: "A method for	
building a locking, migration, dynamic	
clients, and dynamic partitions capable	
distributed server for a real-time	
collaboration session supports the	
synchronous creation and deletion of	
partitions by clients as well as the	
addition and withdrawal of clients	
during a current collaboration session.	
The method is based on history servers	
for providing a history of modifications	
so that a newly-added client can	
compute the current state of a shared	
workspace. The history servers cache	
and granularize intermediate	
modification sequences so that	
computation space and time are	
reduced. The method supports	
migrating partition server(s), history	
server(s), a creation/deletion server, and	
a collaboration server to different	
machines. Partition(s) can be	
dynamically locked and unlocked and,	
in an extension of this procedure,	
creation and deletion of partition(s) can	
be pre-announced and supported.	
Advanced dynamic-partitioning	
activities like splitting a partition,	
activities like spitting a partition,	

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partition to partition are carried out naturally by locking the concerned partitions during the process of execution."  U.S. Patent 6,336,134, cited on face of '454 Patent, at 3:15-4:16: "It is therefore	
partitions during the process of execution."  U.S. Patent 6,336,134, cited on face of	
partitions during the process of execution."  U.S. Patent 6,336,134, cited on face of	
U.S. Patent 6,336,134, cited on face of	
1/15/1 Datant at 2.15 /1.16: "It is therefore	
454 Patent, at 5:15-4:10: It is therefore	
an object of the present invention to	
provide a method for building a locking,	
migration, dynamic clients, and	
dynamic partitions capable distributed	
server for a real-time collaboration	
session. In order to take into account the	
changing nature of the communication	
network, wherein machines can be	
added, loaded, and removed	
dynamically, the processes of the	
distributed server can be migrated from	
machine to machine, dynamically.	
Indeed, the distributed server processes	
can avoid dedicated server hosting	
machines by residing and running solely	
on the machines hosting the client	
processes. In this case, the distributed	
server processes can migrate as and	
when new client machines are added or	
removed. The extended distributed	
server supports locking a partition by a	
client whereby the client with a lock can	
modify the locked partition until the	
client releases the lock. According to	
the invention, there is provided a	
method for building a distributed server	

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	capable of handling locking, migration,	
	dynamic clients, and dynamic partitions	
	for a real-time collaboration session.	
	The method includes: (i) a method by	
	which a collaboration front end or client	
	can synchronously create some new	
	partitions; (ii) a method by which a	
	client can synchronously delete some	
	existing partitions; (iii) a method by	
	which a client can synchronously get	
	permission for creating or deleting	
	partitions; (iv) an optional method	
	based on optional, application-supplied	
	creation/deletion server that is an	
	alternative for the method for getting	
	permissions; (v) a method based on	
	history servers for providing a history of	
	modifications so that a newly-added	
	client can compute the current state of a	
	shared workspace; (vi) caching or	
	granularizing of intermediate	
	modification sequences by the aforesaid	
	history servers so that computation	
	space and time are reduced; (vii) a	
	method each for migrating partition	
	server(s), history server(s), a	
	creation/deletion server, and a	
	collaboration server; (viii) a method for	
	locking partition(s) and unlocking	
	partition(s) whereby partition servers	
	can handle lock and unlock operations;	
	(ix) an extension of the preceding	
	method of locking and unlocking	
	partition(s) to accept pre-announced	
<u>l</u>	paration(b) to accept pre announced	

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creation and deletion of partition(s); and
(x) a method for carrying out advanced
dynamic-partitioning activities like
splitting a partition, merging partitions,
shifting data from partition to
partition—these activities are carried
out naturally by locking the concerned
partitions during the process of
execution. With respect to the
distributed server in application Ser.
No. 09/241,991, these methods
preserve: (a) generality—different
definitions of a modification continue to
be supported along with additional
support for a locking capability; (b)
extensibility—simple, comprehensive
and easy-to-implement inter-partition
synchronisation is provided; (c)
generality for partition hierarchies and
groups, wherein in addition to the
capabilities in the distributed server
disclosed in application Ser. No.
09/241,991, simultaneous or
incremental creation or deletion, of any
group of new or existing partitions is
also supported (as applicable). In
comparison to the centralized server and
the distributed server disclosed in
application Ser. No. 09/241,991, these
methods further improve
interoperability across heterogeneous
software/hardware platforms by (a)
extending the functionality of
distributed-server-based real-time

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		collaboration to dynamic partitions and	
		dynamic clients, and (b) allowing the	
		distributed server processes to migrate	
		and dynamically adapt to changing	
		network (including frontends), and	
		collaboration conditions, which in turn	
		obviates the need for special support for	
		immobile processes from the	
		(hardware/software platforms/servers	
		comprising the) network in the face of	
		commonplace faults, transients, load	
		relief, and balanced/unbalanced	
		development of network load."	
		Wa D	
		U.S. Patent 6,336,134, cited on face of	
		'454 Patent, at 6:11-7:31: "The extended	
		distributed server of the present	
		invention supports both static	
		partitionings and dynamic partitionings.	
		The support for dynamic partitionings	
		provided by the extended distributed	
		server includes not just creation and	
		deletion of partitions, but also their	
		merging and splitting. So in the	
		partition examples described above, the	
		dynamic-partitioning activities of	
		merging and splitting of paragraphs,	
		tables, and objects can be done besides	
		their dynamic, isolated creation and	
		deletion using the extended distributed	
		server. The partitioning of a workspace	
		needs to be explicitly provided to the	
		distributed server in order for it to	
		provide a distributed serialization	

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	service for the partitions. The	
	partitioning can be user supplied, in	
	which case participant(s) including their	
	assistant(s) explicitly deal with	
	` / *	
	partitions and partition changes for	
	collaborative sessions. Otherwise, the	
	partitioning can be application supplied,	
	in which case the partitioning is	
	generated and maintained automatically	
	by the application software associated	
	with the shared workspace. Examples of	
	this are applications that translate their	
	work spaces into NSTP (Notification	
	Service Transport Protocol) partitions	
	such as places. For these applications,	
	and for all other cases where workspace	
	partitioning is made available, a	
	distributed server can be plugged in as	
	the serializing backend. So for example,	
	synchrony in each NSTP partition can	
	be maintained by the plugged-in	
	distributed server. Partitionings	
	provided to the distributed server can be	
	hierarchical and/or grouped in nature.	
	So for example, partitions can contain	
	sub-partitions within them as in the case	
	of hierarchical tables and 3D objects	
	containing other 3D objects. Partitions	
	and sub-partitions are treated simply as	
	partitions by the distributed server. The	
	distributed server presented here can	
	support dynamically-created and	
	dynamically-deleted	
	hierarchical/grouped partitions. A	
	meraremear/grouped partitions. A	

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dynamically-created	
hierarchical/grouped partition can	
involve the simultaneous creation of	
multiple (sub)partitions, or the	
hierarchical/grouped partition can be	
built incrementally. Both of these cases	
are catered to by the distributed server.	
The corresponding cases for the	
dynamic deletion of a	
hierarchical/grouped partition are also	
supported by the distributed server.	
Here also, as in the invention disclosed	
in application Ser. No. 09/241,991, in	
order to use the distributed server, each	
work space modification request (e.g., a	
partition creation request) has to	
identify which partition(s) it can	
possibly affect, and therefore, which	
(remaining) partitions can remain	
oblivious of and therefore out of	
synchrony with the modification	
request. If a multiplicity of partitions is	
identified for a modification request,	
then the modification is treated as a	
compound modification over the	
identified partitions. If only one	
partition is identified as affected by a	
modification request, then the	
modification may be treated as an	
ordinary modification over the	
identified partition, although a	
compound modification over one	
partition can also be used. For the	
identified partition(s), the given	

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modification will be synchronized with	
respect to each of them by the	
distributed server. This means that for	
any partition that is one of the identified	
partitions, the position of the given	
modification in the sequence of	
modifications on the identified partition	
as seen by any collaboration client (that	
participates in the collaboration	
throughout the modification sequences)	
is the same. Furthermore, for every	
client, it is guaranteed that when the	
given modification is seen (i.e.,	
processed) by the client, all	
modifications preceding the given	
modification on each of the identified	
partitions would have been processed	
by the client. Since each partition is	
either already there with an identical	
value for each client at the start of	
collaboration, or it gets created	
dynamically, wherein the creation is	
itself defined as a modification on the	
partition, processing of just the given	
modification implies that the state of the	
identified partitions becomes the same	
for every client. In other words, when	
clients process a given modification	
1	
over one or more partitions, their states for the partitions get synchronized to be	
the same. Since this is true for all	
modifications of the workspace,	
processing of the workspace by its	
clients proceeds in a synchronous	

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				manner when the distributed server is used."	
'454	1, 2, 3, 17, 18	configuration(s )	Plain and ordinary meaning to a person of ordinary skill in the art in light of the specification.		HP Invention Disclosure Form. VALTRUS-GOOGLE-NDTX- 00001871-00001874.
'454	1, 4, 17, 18	partitions	Plain and ordinary meaning to a person of ordinary skill in the art in light of the specification.		HP Invention Disclosure Form. VALTRUS-GOOGLE-NDTX- 00001871-00001874.  Sajal K. Das et al., Latency Hiding in Dynamic Partitioning and Load Balancing of Grid Computing Applications 1 (2001). VALTRUS- GOOGLE-NDTX-00007918-00007925.  K. Dussa et al., <i>Dynamic Partitioning in</i> a Transputer Environment, 90 ACM 203, 203 (1990). VALTRUS-GOOGLE- NDTX-00007943-00007953.  Kee-Hyun Park & Lawrence W. Dowdy, Dynamic partitioning of multiprocessor systems, 18 INT'L J. OF PARALLEL PROGRAMMING 91, 91 (1989). VALTRUS-GOOGLE-NDTX- 00008514-00008543.

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'454	1	load balancer	Plain and ordinary meaning to a person of ordinary skill in the art in light of the specification.	HP Invention Disclosure Form. VALTRUS-GOOGLE-NDTX- 00001871-00001874.
'454	1	rank	Plain and ordinary meaning to a person of ordinary skill in the art in light of the specification.	HP Invention Disclosure Form. VALTRUS-GOOGLE-NDTX- 00001871-00001874.

### VI. U.S. Patent No. 7,748,005

Patent	Claim(s)	Term	Valtrus's Proposed Construction	Examples of Intrinsic Evidence	Examples of Extrinsic Evidence
'005	8, 9	computing domain(s)	A protected division of a computer.	'005 Patent at 3:11-13: "The computers, particularly the large computers, or the network may be divided into protection domains or partitions."  '005 Patent at 13:37-40: "Although some representative embodiments have been described in terms of allocating physical resources between partitions, representative embodiments may allocate resources between any suitable computing domain. Another suitable	

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computing domain is a virtual	$\Box$
machine."	
'005 Patent at 13:59-14:20: "FIG. 7	
depicts system 700 that allocates virtual	
resources according to one	
representative embodiment. System 700	
may comprise a plurality of physical	
resources such as CPUs 701, memory	
702, network interface card (NIC) 703,	
disk storage 704, and/or the like.	
System 700 includes host operating	
system 701. Host operating system 701	
enables low level access to physical	
resources 701-704. Additionally, host	
operating system 701 includes a	
software layer that virtualizes the	
physical resources 701-704 to enable	
allocation of those resources to higher	
level software processes. The	
virtualization software layer may be	
implemented within the kernel of host	
operating system 701 as an example.	
System 700 further includes virtual	
machines 705-1 through 705-N. Virtual	
machines 705-1 through 705-N appear	
to software processes executing within	
the virtual machines to be physical	
server platforms. Virtual machines 705-	
1 through 705-N provide partition and	
isolation functionality. A software fault	
within any particular virtual machine	
705 may only affect the respective	

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virtual machine 705, while software	
processes associated with the other	
virtual machines 705 may continue	
operations in an ordinary manner.	
Within virtual machines 705, respective	
guest operating systems (OS) 706-1	
through 706-N may be executed.	
Additionally, one or several	
applications (shown as 707-1 through	
707-N) may be executed within each	
virtual machines 705. Performance	
monitors 708-1 through 708-N generate	
performance data related to applications	
707. The performance data may be	
gathered directly from applications 707	
and/or from operating systems 706."	
FIG. 7.	
1005 D. t t. D t' H' . t t	
'005 Patent Prosecution History at	
VALTRUS-GOOGLE-NDTX-	
00001767-00001778: "The Applicants	
respectfully submit that Eilert does not	
show or suggest the feature of a	
computing domain comprising a	
respective second manager process	
which maintains a list comprising at	
least one level of priority for at least one	
application of the domain"	
U.S. Patent 6,330,586, cited on face of	
'005 Patent, at 15:41-50: "The terminal	
domain 101 "contains" the user and	
items owned or controlled by the user,	

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such as the user's personal profile and applications, and terminal. The Terminal Agent 102 is responsible for representing the terminal resources when interacting with agents in the retailer domain, 103, service provider domain, 104, and network provider domain, 106. The terminal resources normally include service applications (for example, an electronic mail editor), communication capabilities, and user-interface platforms."  U.S. Patent 6,330,586, cited on face of '005 Patent, at 16:11-23: "In addition to agents, the service retailer domain 103 contains a set of management processes to allow facilities such as the following: to monitor activity, make configuration changes, specify and enforce policies, maintain quality, performance access etc. as demand and resources vary, enforce payment and security related mechanisms, assess interactions and add links to third parties, and advertise services. These management processes support the retail services provided and managed by the Access Agent 107, which services may be realised by resources supplied by the retailer such as billing, or may be realised by			
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resources supplied by the retailer such		managed by the Access Agent 107,	
		which services may be realised by	
as billing, or may be realised by		resources supplied by the retailer such	
		as billing, or may be realised by	

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Joo 5				resources supplied by agents in the network or service provider domains."  U.S. Patent 7,203,941, cited on face of '005 Patent, at 4:11-23: "Implementations include an execution engine of a virtual machine that processes intermediate code to execute an application. The execution engine can exist on a variety of operating systems, each of which may or may not have virtual memory. The execution of the application creates a domain of the application. The domain of the application, in a virtual machine environment, is an isolation abstraction for each application in its domain. The executing application can initiate a request for the allocation of a native resource to the domain of the application. When the executing application requests a native resource, which usually occurs through operation of a library member of a class library, an allocation and collection routine is performed."	
'005	8	application priority levels	Plain and ordinary meaning to a person of ordinary skill in the art in light of the specification.		

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'005	8	manager process	Plain and ordinary meaning to a person of ordinary skill in the art in light of the specification.		
'005	8	performance data	Plain and ordinary meaning to a person of ordinary skill in the art in light of the specification.		
'005	8, 11, 13	dynamically reallocating	Plain and ordinary meaning to a person of ordinary skill in the art in light of the specification.		
'005	9, 12	virtual machine(s)	A set of virtual resources created as software constructs.	'005 Patent at 13:40-43: "Another suitable computing domain is a virtual machine. For example, virtualization refers to the creation of virtual machines that coexist on one or several physical servers. Virtualization software typically executes in connection with a host operating system of the physical server. The virtualization software creates virtual resources as software constructs."	"Virtual machine." Alan Freedman, Computer Desktop Encyclopedia 1033 (9th ed. 2001). VALTRUS-GOOGLE- NDTX-00007401-00007415.  "Virtual machine." Dictionary of Computer Science, Engineering, and Technology 522 (Phillip A. Laplante ed., 2nd ed. 2001). VALTRUS-GOOGLE- NDTX-00007416-00007434.  "Virtual machine." Steven M. Kaplan, Wiley Electrical and Electronics

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	'005 Patent at 14:5-9: "Virtual machines	Engineering Dictionary 841 (2004).
	705-1 through 705-N appear to software	VALTRUS-GOOGLE-NDTX-
	processes executing within the virtual	00007435-00007455.
	machines to be physical server	
	platforms. Virtual machines 705-1	"Virtual machine." S.M.H. Collin,
	through 705-N provide partition and	Dictionary of Computing 351 (5th ed.
	isolation functionality."	2004). VALTRUS-GOOGLE-NDTX-
	isolation functionality.	00007471-00007482.
	FIG. 7.	00007471-00007462.
	110. /.	"Virtual machine." Microsoft Computer
	U.S. Patent Application 2002/0169987	Dictionary 549 (Alex Blanton and
	A1, cited on face of '005 Patent, at	Sandra Haynes, eds., 5th ed. 2002).
		VALTRUS-GOOGLE-NDTX-
	[0003]: "The typical computer system	00007483-00007498.
	includes hardware (e.g., processor,	0000/463-0000/498.
	keyboard, hard disk, floppy-disk, etc.)	"Vintual machine " Homey Nevyton
	and operating-system software that runs	"Virtual machine." Harry Newton,
	on the processor to control the	Newton's Telecom Dictionary 992 (24th
	components of the computer system. A	ed. 2008). VALTRUS-GOOGLE-
	virtual machine monitor (VMM) is	NDTX-00007499-00007516.
	another software program that runs on	
	the processor of the computer system to	"Virtual machine." Dick Pountain, The
	create a user-definable number of	New Penguin Dictionary of Computing
	computing platform environments."	532 (2001). VALTRUS-GOOGLE-
		NDTX-00007517-00007536.
	U.S. Patent Application 2002/0169987	
	A1, cited on face of '005 Patent, at	Gerald J. Popek and Robert P. Goldberg,
	[0009]: "U.S. Pat. No. 5,850,449,	Formal Requirements for Virtualizable
	entitled "SECURE NETWORK	Third Generation Architectures, 17
	PROTOCOL SYSTEM AND	COMMS. OF THE ACM 412, 413 (1974).
	METHOD," discloses a device for and a	VALTRUS-GOOGLE-NDTX-
	method of securely transmitting objects	00007320-00007329.
	containing executable programs in place	
	of conventional data packets. U.S. Pat.	Keith Adams and Ole Agesen, A
	No. 5,850,449 implements its device	Comparison of Software and Hardware
	<u> </u>	Techniques for x86 Virtualization
· · · · · · · · · · · · · · · · · · ·	•	•

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and method by encrypting all (2006). VALTRUS-GOOGLE-NDTXtransmissions, which the present 00007170-00007181. invention does not. U.S. Pat. No. Michael Paleczny, Christopher Vick, and 5,850,449 uses a virtual machine Cliff Click, The Java HotSpot Server module to execute platform independent programs (e.g., JAVA programs). The Compiler, in Proceedings of the Java Virtual Machine Research and device and method of the present Technology Symposium (JVM '01) invention is not disclosed in U.S. Pat. (2001). VALTRUS-GOOGLE-NDTX-No. 5,850,449. U.S. Pat. No. 5,850,449 is hereby incorporated by reference into 00007307-00007319. the specification of the present Jeff Dike, A user-mode port of the Linux invention." kernel 1. in Proceedings of the 4th U.S. Patent 7,203,941, cited on face of Annual Linux Showcase & Conference, '005 Patent, at 1:13-28: "When Atlanta (2000). VALTRUS-GOOGLEexecuting managed code in a virtual NDTX-00007932-00007942. machine (VM) environment, an I. Peter Deutsch and Allan M. application can run on different Schiffman, Efficient Implementation of platforms. In such VM environments, native resources are typically allocated the Smalltalk-80 System, 84 ACM 297, 297 (1983). VALTRUS-GOOGLEto applications using system calls. NDTX-00007926-00007931. These system calls can be made when executing code in the VM environment Tim Lindholm and Frank Yellin, The by callers from class libraries. These Java Virtual Machine Specification 2 class libraries commonly call through to (1997). VALTRUS-GOOGLE-NDTXthe native operating system to perform 00007954-00008444. low level functionality, such as drawing and windowing management. When these system calls are made, native resources are allocated as an effect of the library call from the caller. These native resources must be kept in synchronization with their counterpart in a managed code portion of the VM.

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	When the managed code portion of the VM is through using the native resources, it is desirable that these native resources be freed so that they can be allocated for use by other applications."  U.S. Patent 7,433,951, cited on face of '005 Patent, at 2:51-65: "For example, in the context of virtual machine technology, several virtual machines (VM's) often run via respective virtual machine monitors (VMM's) on a common underlying hardware platform and must share physical resources. Each VM thus constitutes a guest system, and typically includes its own guest operating system. One or more applications then usually run in each guest. Among other functions, the VMM intercepts and converts requests for physical resources by the VM's into corresponding requests for actual hardware resources. In some systems, the VMM itself performs the functions of an operating system and is responsible for managing all the physical system resources such as CPU time, physical memory, and I/O bandwidth. In other systems, the VMM forwards the requests to the underlying	
	host operating system."	

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### WHETHER PREAMBLES ARE LIMITING

### '704 Patent Preambles

Claim(s)	Preamble	Position on Whether Preamble is Limiting
1	A method of merging result lists from multiple search engines, said method comprising:	Yes
12	A method of merging result lists from multiple search engines, said method comprising:	Yes

### II. '764 Patent Preambles

Claim(s)	Preamble	Position on Whether Preamble is Limiting
1	A method of ranking search results, comprising:	Yes
7	A computer readable memory to rank search results, comprising:	Yes
14	A computer readable memory, comprising:	Yes

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### **ALLEGED MEANS PLUS FUNCTION TERMS**

The parties have identified certain terms as being governed by 35 U.S.C. § 112(f). Valtrus and Google agree that 35 U.S.C. § 112(f) applies to the terms below from U.S. Patent No. 6,816,809, and Valtrus identifies each structure, act, or material corresponding to each claim element below.

Google has further identified certain terms from U.S. Patent No. 6,738,764 as being governed by 35 U.S.C. § 112(f). None of these terms include the word "means," creating a presumption that 35 U.S.C. § 112(f) does not apply. *See Williamson v. Citrix Online, LLC*, 792 F.3d 1339, 1348-49 (Fed. Cir. 2015). Google has offered no evidence to overcome this presumption, and Valtrus does not consider these terms to be governed by 35 U.S.C. § 112(f). In the event the Court rules that the identified terms are governed by 35 U.S.C. § 112(f), Valtrus identifies additional structure, act, or material corresponding to each claim element below. But absent such a determination by the Court, Valtrus's position is that no further construction is needed at this time.

### I. U.S. Patent No. 6,816,809

Claim(s)	Term	Structure	Function
18	means for measuring computer system time	A clock, and all equivalents thereof.  '809 Patent at 1:53-55: "wherein the counter receives a measure of system time from the system clock."	measuring computer system time
		HP Invention Disclosure Form. VALTRUS-GOOGLE-NDTX- 00001875-00001878.	

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18	means for determining when a CPU is busy	Hardware or software, and all equivalents thereof.	determining when a CPU is busy
		'809 Patent at 3:31-34: "The means	
		for determining if the CPU is busy	
		may include hardware means. Alternatively, the means for	
		determining if the CPU is busy may	
		include software means."	
		'809 Patent at 3:40-44: "The	
		hardware means for determining if	
		the CPU is busy may include hardware modifications to the	
		computer system. The alternate	
		software means for determining if	
		the CPU is idle may include	
		modifications to the CPU's operating system(s)."	
		HP Invention Disclosure Form. VALTRUS-GOOGLE-NDTX- 00001875-00001878.	
18	means for providing an indication when	An idle indicator, and all equivalents thereof.	providing an indication when the CPU is busy
	the CPU is busy	'809 Patent at 3:57-58: "The idle	
		indicator 120 is capable of providing	
		either an "idle" indication or a "not-	
		idle"/"busy" indication."	
		'809 Patent at 4:36-56: "As noted	
		above, the idle indicator 120	
		provides an indication that the CPU	

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		110 is not idle. The idle indicator may be implemented as a hardware modification to the computer system 100. [Two embodiments are described] In addition to the just-described two embodiments of the idle indicator 120, other structures and methods may be used to indicate the CPU 110 is idle."  FIG. 1A.  HP Invention Disclosure Form. VALTRUS-GOOGLE-NDTX-00001875-00001878.	
18	means for receiving the indication and measure of computer system time	A counter, and all equivalents thereof.  '809 Patent at 1:67-2:4: "receiving at the counter a measure of computer system time; incrementing a counter value in the counter based on the received busy indication and an amount of computer system time that the processor is determined to be busy"  HP Invention Disclosure Form. VALTRUS-GOOGLE-NDTX-00001875-00001878.	receiving the indication and measure of computer system time
18	means for combining the indication and the	A counter, and all equivalents thereof.	combining the indication and the measure of computer system time to generate a counter value indicative of CPU utilization

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	measure of computer system time to generate a counter value indicative of CPU utilization	'809 Patent at 1:67-2:4: "receiving at the counter a measure of computer system time; incrementing a counter value in the counter based on the received busy indication and an amount of computer system time that the processor is determined to be busy"  HP Invention Disclosure Form. VALTRUS-GOOGLE-NDTX-00001875-00001878.	
18	means for maintaining the counter value	A usage data provider, and all equivalents thereof.  '809 Patent at 4:12-14: "The usage data provider 150 tracks the counter value and maintains a non-volatile master copy of the counter value."  '809 Patent at 4:19-23: "Because the usage data provider 150 maintains a non-volatile copy of the counter value, even if the CPU 110, or other hardware component is removed, in addition to a loss of power situation, an up-to-date, or nearly up-to-date value of the counter value is always available."  HP Invention Disclosure Form. VALTRUS-GOOGLE-NDTX-00001875-00001878.	maintaining the counter value

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19	means for reinitializing the counter value upon power of the CPU	A usage data provider, and all equivalents thereof.  '809 Patent at 4:14-23: "When the CPU 110 is powered on (or a hardware component containing the CPU 110 is powered on), the saved non-volatile counter value is provided from the usage data provider 150 to the counter 140 to initialize the counter value in the counter 140. Because the usage data provider 150 maintains a non-volatile copy of the counter value, even if the CPU 110, or other hardware component is removed, in addition to a loss of power situation, an up-to-date, or nearly up-to-date value of the counter value is always available."  '809 Patent at 6:33-35: "Thus, whenever a cell is powered on, the usage data provider 500 will reinitialize the corresponding counters in the cell."  HP Invention Disclosure Form. VALTRUS-GOOGLE-NDTX-00001875-00001878.	reinitializing the counter value upon power of the CPU
20	means for reporting a CPU utilization value to a network	A usage data provider, and all equivalents thereof.	reporting a CPU utilization value to a network external to the computer system

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external to the	1900 Detent at 4.24 22, "The years	
	'809 Patent at 4:24-33: "The usage	
computer system	data provider 150 maintains a	
	connection, or network interface 160	
	to a system or network (not shown)	
	that is external to the computer	
	system 100. For example, the	
	interface 160 may be a local area	
	network (LAN) interface to a LAN.	
	The LAN may include a	
	management server that receives and	
	processes information from the	
	various computer systems coupled to	
	the LAN, including the counter	
	values that indicate CPU utilization.	
	The usage data provider 150 can	
	provide the current value of the	
	counter value to the network by way	
	of the network interface 160."	
	'809 Patent at 4:31-33: "The usage	
	data provider 150 can provide the	
	current value of the counter value to	
	the network by way of the network	
	interface 160. The counter value may	
	be provided periodically or when	
	polled by the network."	
	police by the network.	
	HP Invention Disclosure Form.	
	VALTRUS-GOOGLE-NDTX-	
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### II. U.S. Patent No. 6,738,764

Claim(s)	Term	Structure (Means)	Function
7	a vector constructor to form a feature vector for each viewed document	Executable code stored in a memory, and all equivalents thereof.  '764 Patent at 2:64-66: "Also attached to the system bus 26 is a memory 30, which may be primary and/or secondary memory. The memory stores a set of executable programs and related data."  FIG. 1.  '764 Patent at 3:37-39: "A vector constructor 70 operates on the document-query database 62 to produce a set of document vectors that are stored in a vector database 72."	form a feature vector for each viewed document
7, 14	a similarity processor to calculate a similarity score for said query utilizing the feature vector of said selected viewed document / a similarity processor to calculate a similarity score for	Executable code stored in a memory, and all equivalents thereof.  '764 Patent at 2:64-66: "Also attached to the system bus 26 is a memory 30, which may be primary and/or secondary memory. The memory stores a set of executable programs and related data."  FIG. 1.	calculate a similarity score for said query utilizing the feature vector of said selected viewed document / a similarity processor to calculate a similarity score for said query utilizing a feature vector that characterizes attributes and query words of a different query associated with said document

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said query utilizing a	'764 Patent at 3:48-53: "The memory
feature vector that	30 also stores a similarity processor
characterizes	80. As discussed below, the
attributes and query	similarity processor 80 calculates a
words of a different	similarity score between a query and
query associated	a feature vector of a document. Thus,
with said document	the similarity processor 80 populates
	a similarity database 82 with a set of
	similarity score entries 84."

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